



Railway systems and their transition  
Lecture 5

# Traffic Management Systems

Peter Kummer  
EPFL, Autumn Semester 2025  
October 14, 2025

# Preparatory reading for the lecture.



Introduction to train control, path planning and rail operations and their digitalisation initiatives

You can find it on



# Current challenges: railway expansion quo vadis?

Federal Councillor A. Rösli mandate to ETH to prioritise expansion projects for rail and road.



- Expansion step for the national road network was rejected by the population.
- The implementation of the rail's 2035 service concept will lead to considerable additional costs.
- The Federal Councillor has instructed ETH Zurich to prioritise the planned expansion projects.

# Transports 2045: la Romandie aura sa part dans les grands projets de la Confédération

Résumé de l'article

Partager

Suisse  
Modifié hier à 21:08



Suisse : le professeur Weidmann priorise projets routiers et ferroviaires / 19h30 / 2 min. / jeudi à 19:30

La Suisse romande aura sa part dans les grands projets ferroviaires et routiers de la Confédération ces deux prochaines décennies. L'expertise Transports 2045 présentée jeudi prévoit de lui allouer un quart du portefeuille national, notamment dans le goulet lémanique.

Le rapport Weidmann, du nom du professeur de l'EPFZ Ulrich Weidmann, spécialiste des transports, a établi sur mandat de la Confédération une hiérarchie des projets ferroviaires et routiers, sur la base des nouvelles contraintes financières et du rejet populaire en 2024 de la dernière extension des autoroutes. L'objectif est de garantir la fluidité, la sécurité et la cohérence du réseau suisse face à la pression croissante de la demande et de la démographie.

# Agenda.

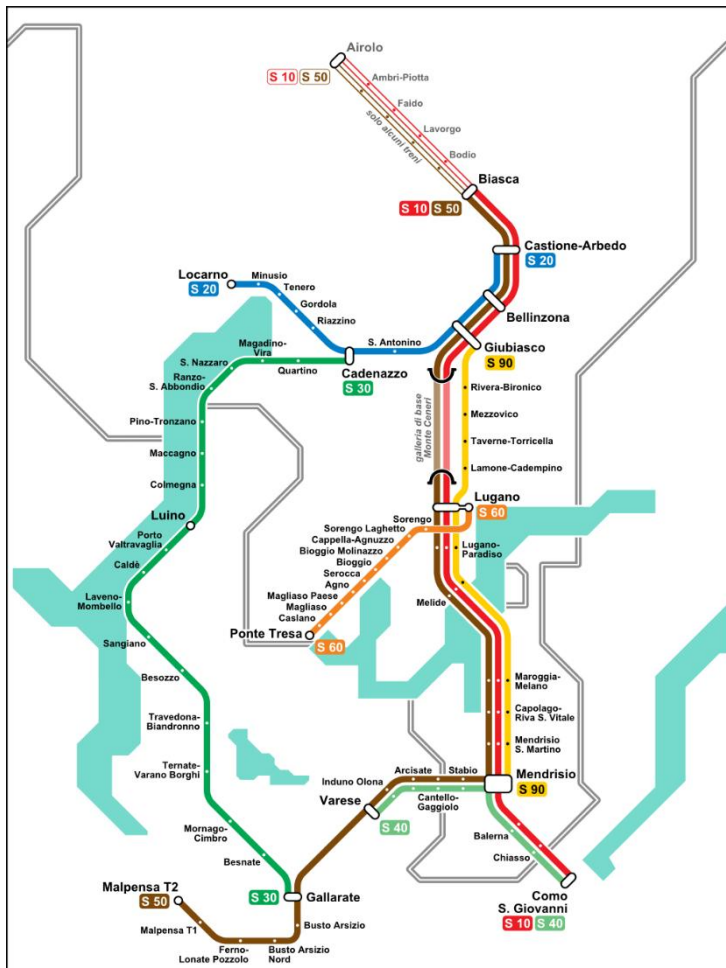
1. Intro
2. Pitch «Case Study» from last time
3. Feedback & Solutions
4. Traffic Management Systems
5. Case Study

# Case Study

# Rolling Stock.

# TILO (Treni Regionali Ticino Lombardia) is in need for a new train.

## Task description.



Source: Mappa rete celere ticinese - S-Bahn Tessin - Wikipedia

- Setting:
  - You are the project manager(s) of the acquisition project as an employee of the RU TILO.
- Boundary conditions:
  - The trains must be capable of operating the specified services (illustration), in addition to S60 (narrow gauge).
- Tasks:
  1. Define **strategic goals** for the acquisition project.
  2. **Conceptualise** the new train systematically for the public call for tenders (what do you expect from the manufacturer? What requirements has the train to meet? How many do you need?). Justify your decisions and present your solutions adequately.
  3. Identify challenges regarding **infrastructure and interoperability** (relation to week 3).
  4. For the motivated: Investigate the rolling stock market **to identify one or more potentially suitable products** that meet your requirements.

# Case Study Feedback.

Feedback Peter Kummer and  
solutions SBB has chosen to this challenge.

# Example Solution (Sketch).

## 1. Strategic goals.

1. The vehicles provide adequate performance for **stable daily operation of the TILO service lines** in Switzerland and Italy.
2. The **technical reliability** of the new rolling stock is within an acceptable range.
3. The vehicles are physically **compatible** with the existing rolling stock.
4. The **cost-efficiency** of the new rolling stock is optimised throughout its lifetime.
5. The vehicles are scheduled to **begin their operation by 2030**, with the last train is delivered in 2032.
6. The customers receive a new type or rolling stock that **fosters customer identification** with the TILO network in the unique Ticino and Lombardy region.

# Example Solution (Sketch).

## 2. Conceptualisation (I).

Two approaches for the structure:

### A) Slides (Quadrant):

- Driving parameters
- Processes
- Capacity
- Comfort

### B) Roles:

- Overall manager
- Commercial manager
- Technical manager

# Example Solution (Sketch).

## 2. Conceptualisation (I).

### Driving parameters

- **Electrified, 15kV AC, 16.7Hz (CH) + 3kV DC (IT) → IT?**
- EMU (Electric Multiple Unit)
- 160 km/h (Rolling stock Strategy, average value as permitted by the Infrastructure Division)
- **1.0m/s<sup>2</sup> (Rolling stock Strategy, high value)**
- Theoretical axle load max. 20t (Route class D4)
- No tilting technology

### Processes

- **Fast passenger change times** (as per station category table): many wide doors.
- Automatic train dispatch (20s planned time)
- **Coupling:** Standardised according to EN, Scharfenberg type 10 OR Flirt coupling for compatibility
- Optimised cleaning: No waste bins in your seat, no seat supports, no upholstery

### Costs

- Procurement price of a maximum of 12 million for 6 units.
- LCC comparable to the existing fleet

### Capacity

- **Single-deck (Theoretically: EBV O2 (= Dosto—Double Deck) on the Swiss side would be possible except on the Luino line) → IT?**
- **Length: 110 (maximum based on platform lengths; CH: 220m, Luino line: 120m) → IT?**
- Width: defined (2-2 seating in 1st and 2nd class)
- **Seats: 240 seats, 310 standing (+/-10%) [more like previous flirts]**
- **Seat dividers 1st/2nd class: 20% 1st class, 80% 2nd class (+/-5%)**
- Standing areas: partly in the entrance area (max. 20% of floor space)

### Comfort

- **Low-floor access**
- **PRM toilet**
- 4 bicycle spaces
- No bistro/restaurant
- Window tables
- Good reception Mobile phone
- Standard SBB customer information system
- Identity-creating paintwork and interior design

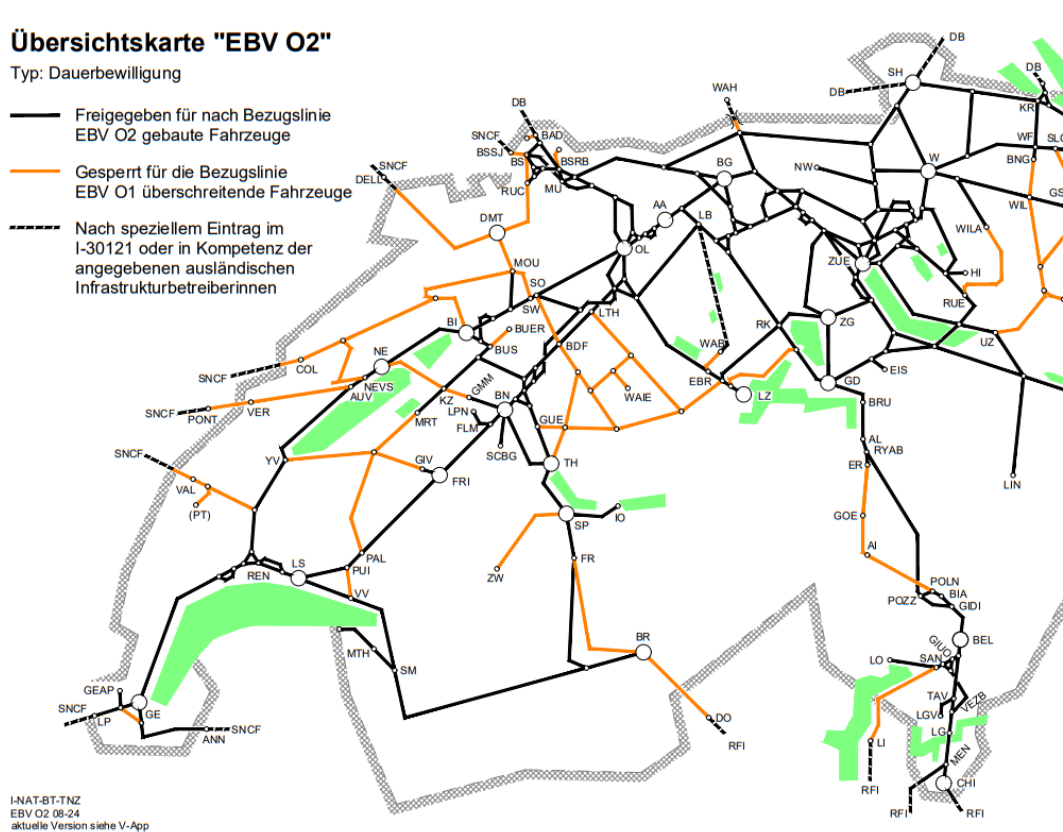
# Example Solution (Sketch).

## 2. Conceptualisation (II).

### Übersichtskarte "EBV O2"

Typ: Dauerbewilligung

- Freigegeben für nach Bezugslinie EBV O2 gebaute Fahrzeuge
- Gesperrt für die Bezugslinie EBV O1 überschreitende Fahrzeuge
- - - Nach speziellem Eintrag im I-30121 oder in Kompetenz der angegebenen ausländischen Infrastrukturbetreiberinnen

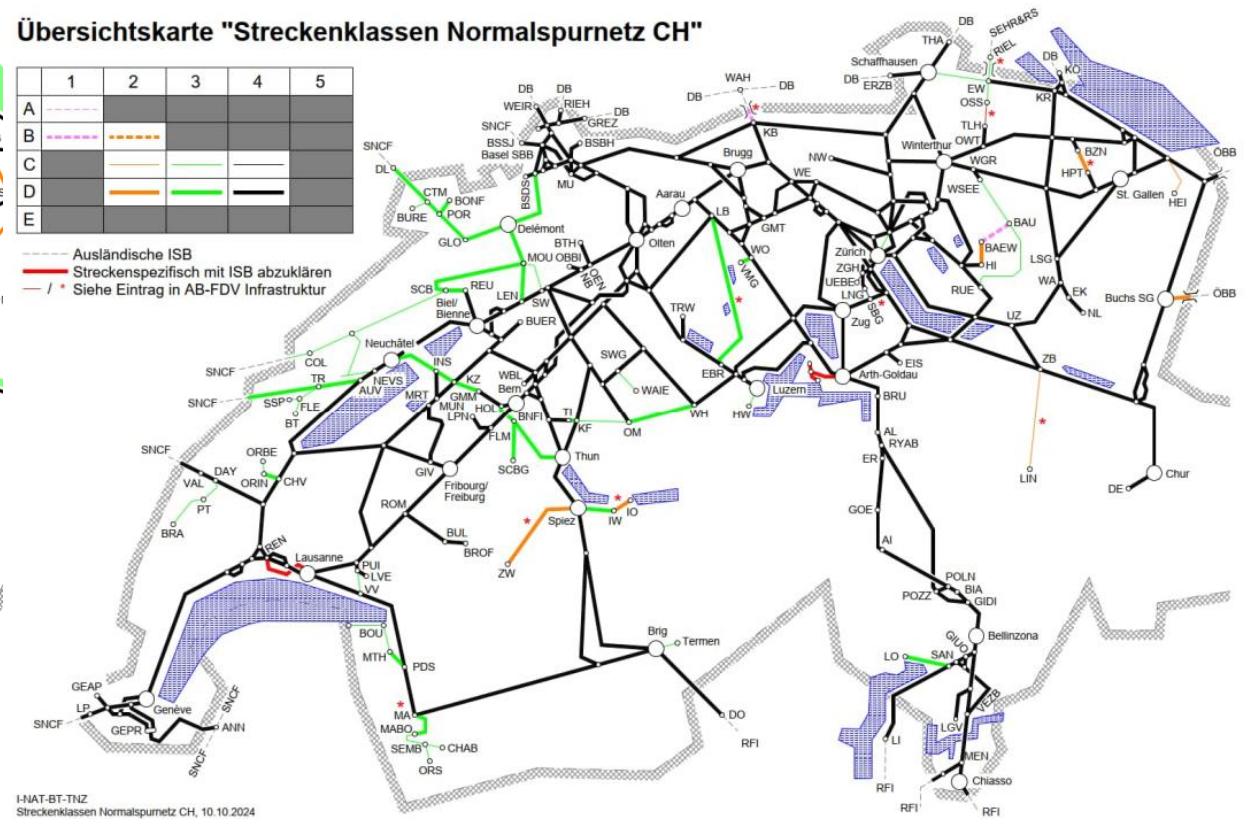


I-NAT-BT-TNZ  
EBV O2 08-24  
aktuelle Version siehe V-App

### Übersichtskarte "Streckenklassen Normalspurnetz CH"

	1	2	3	4	5
A					
B					
C					
D					
E					

- - - Ausländische ISB
- Streckenspezifisch mit ISB abzuklären
- / \* Siehe Eintrag in AB-FDV Infrastruktur

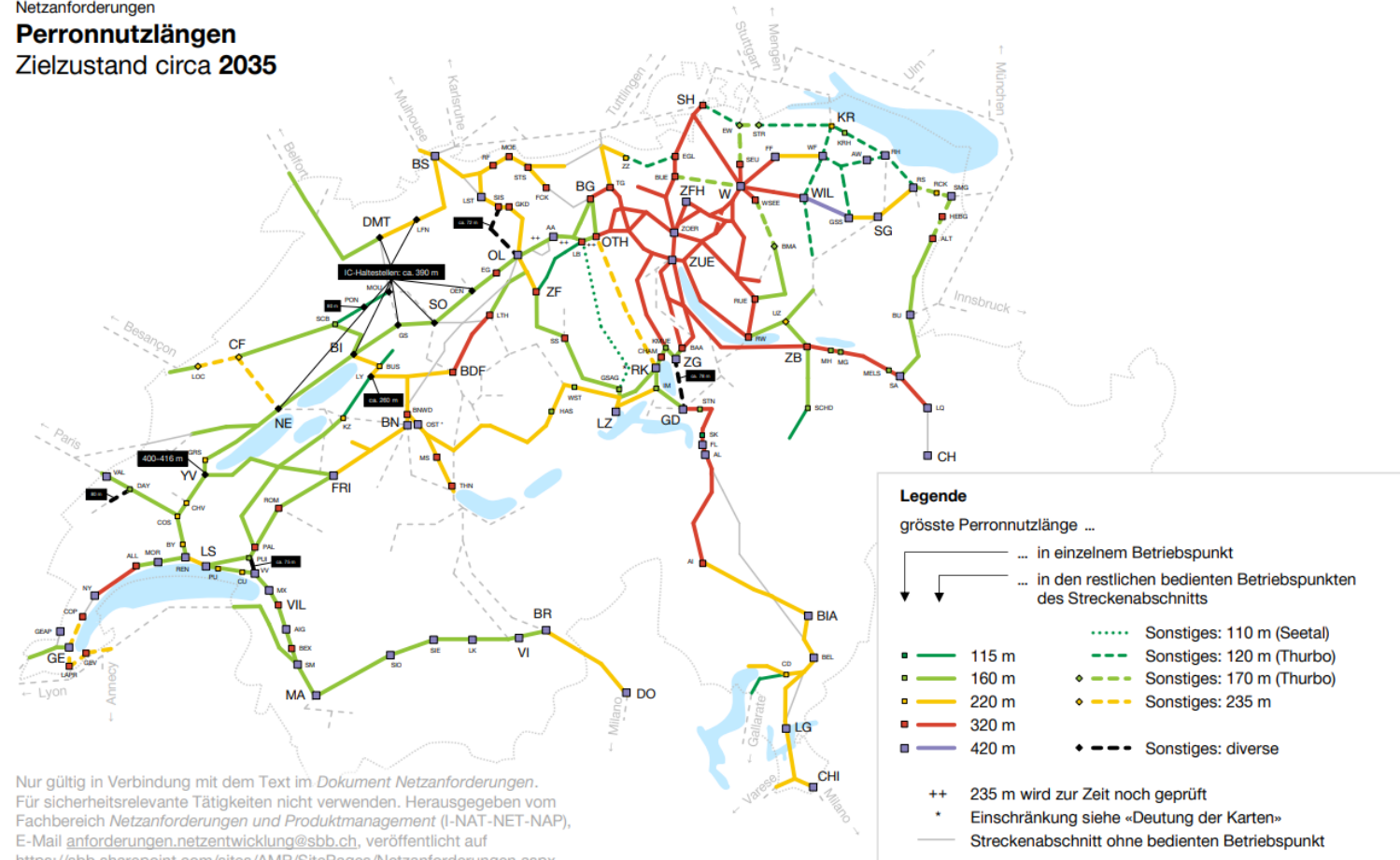


I-NAT-BT-TNZ  
Streckenklassen Normalspurnetz CH, 10.10.2024

# Example Solution (Sketch).

## 2. Conceptualisation (III).

Netzanforderungen  
**Perronnutzlängen**  
 Zielzustand circa 2035



Nur gültig in Verbindung mit dem Text im Dokument Netzanforderungen.  
 Für sicherheitsrelevante Tätigkeiten nicht verwenden. Herausgegeben vom  
 Fachbereich Netzanforderungen und Produktmanagement (I-NAT-NET-NAP),  
 E-Mail [anforderungen.netzentwicklung@sbb.ch](mailto:anforderungen.netzentwicklung@sbb.ch), veröffentlicht auf  
<https://sbb.sharepoint.com/sites/AMP/SitePages/Netzanforderungen.aspx>

# Example Solution (Sketch).

## 3. Infrastructure and interoperability Challenges.

Interoperability with Italy must be provided.

Challenges:

- Structure gauge (Lichttraumprofil) → IT?
- Energy (Stromnetz) → IT?
- ...

# Example Solution (Sketch).

## 4. Potentially suitable products on the rolling stock market.



Source: [Neue FLIRT-Züge für TILO haben Zulassung erhalten - Bahnonline.ch](https://www.bahnonline.ch)

TILO is currently gradually introducing 14 new FLIRT TSI vehicles produced by Stadler Rail. The new trains will strengthen the fleet with a view to increasing services in connection with the opening of the Ceneri Base Tunnel. The delivery of the vehicles is ongoing; eight will be put into service by December 2020 and the remaining six will be phased in during 2021. The new FLIRT TSIs are state-of-the-art electric trains that will complement the TILO fleet, allowing TILO to rely on both the current 40 second-generation FLIRT trains and the 14 new third-generation FLIRT trains. This will enable TILO to meet the increased service offer associated with the opening of the Ceneri Base Tunnel. The FLIRT TSIs six-car coaches, each 105 metres long that can accommodate a total of 554 passengers with 244 seats (20 more than on the second generation of Flirt) and 310 standing places. They are equipped with several multifunctional zones for bicycles and luggage, as well as easy access to first class from inside the train. All vehicles are designed for easy accessibility, featuring entrances with low footboards in line with the platforms raised by 55cm. Initially, as announced by Stadler Rail on 30 November 2020, a problem with a subcontractor has resulted in not all toilet cubicles ordered being delivered on time. Consequently, three train compositions are either not equipped or only partially equipped with toilets. TILO and SBB regret this inconvenience. Stadler will implement a definitive solution to the shortage of toilets by mid-2021. The trains will primarily operate on the S20 line (Biasca–Bellinzona–Locarno) and for the new RE80 Locarno–Lugano express trains. Following the complete timetable change on 5 April 2021, TILO will operate 478 connections a day, compared to 383 connections today, thanks to the introduction of the new trains. Many of the new connections will also run with double traction, ensuring a larger number of seats. The new FLIRT TSI trains are currently approved for operation on the Swiss rail network but will also receive approval for operation on the Italian rail network by the end of 2021.

# Railway Assets.

Part 03 «Traffic Management Systems».

# Railway Assets.

## Agenda.



Part 1  
«Heavy Assets»



Part 2  
«Rolling Stock»



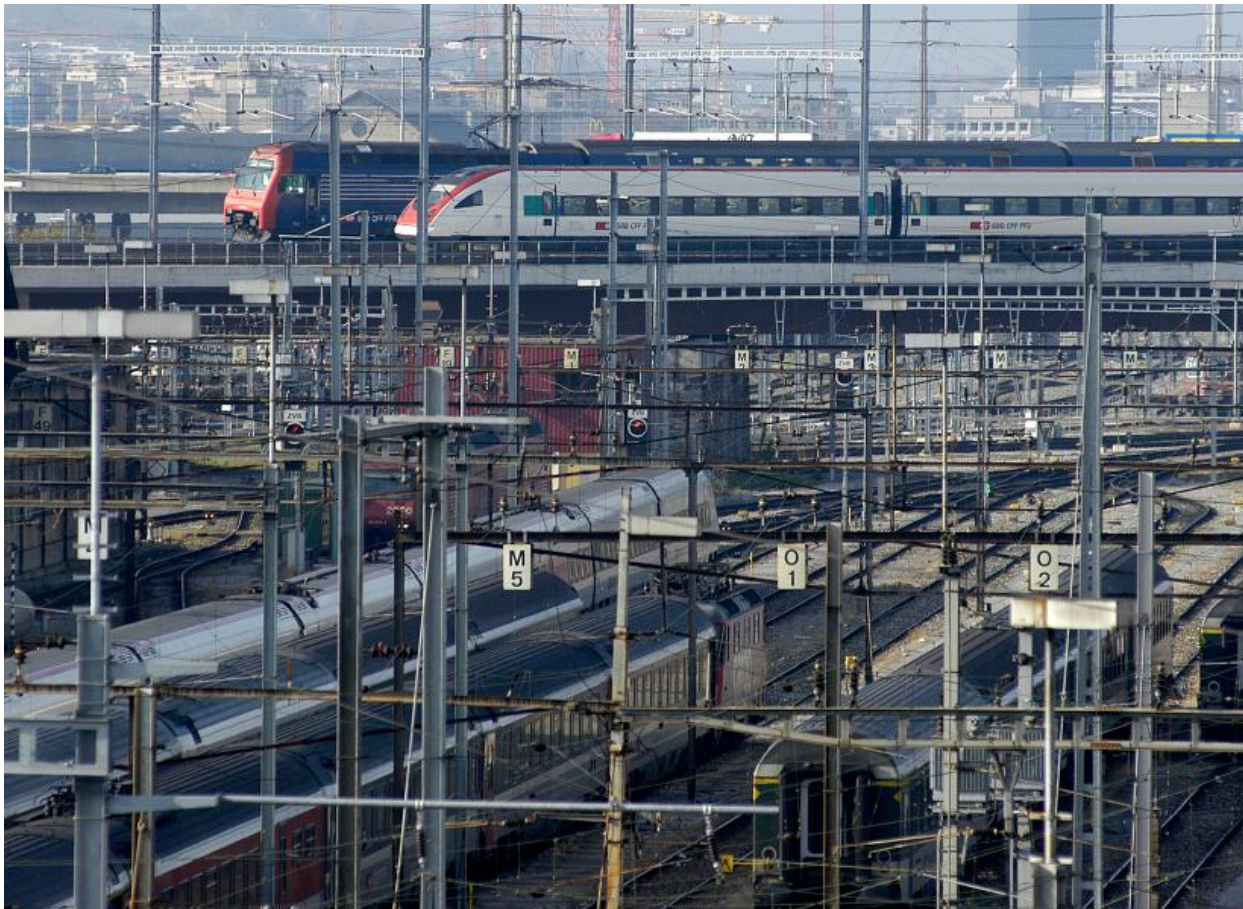
Part 3  
«Traffic Management Systems»

# Traffic Management Systems.

1. Essential system characteristics
2. Architecture of the system
3. National railway development and its challenge for Europe
4. Improving efficiency through digitalization
5. Outlook

# 1. Essential System Characteristics.

# What are the essential characteristics of the railway system?



## Railways

- are track-bound
- use steel wheels on steel rails
- form trains with linked wagons

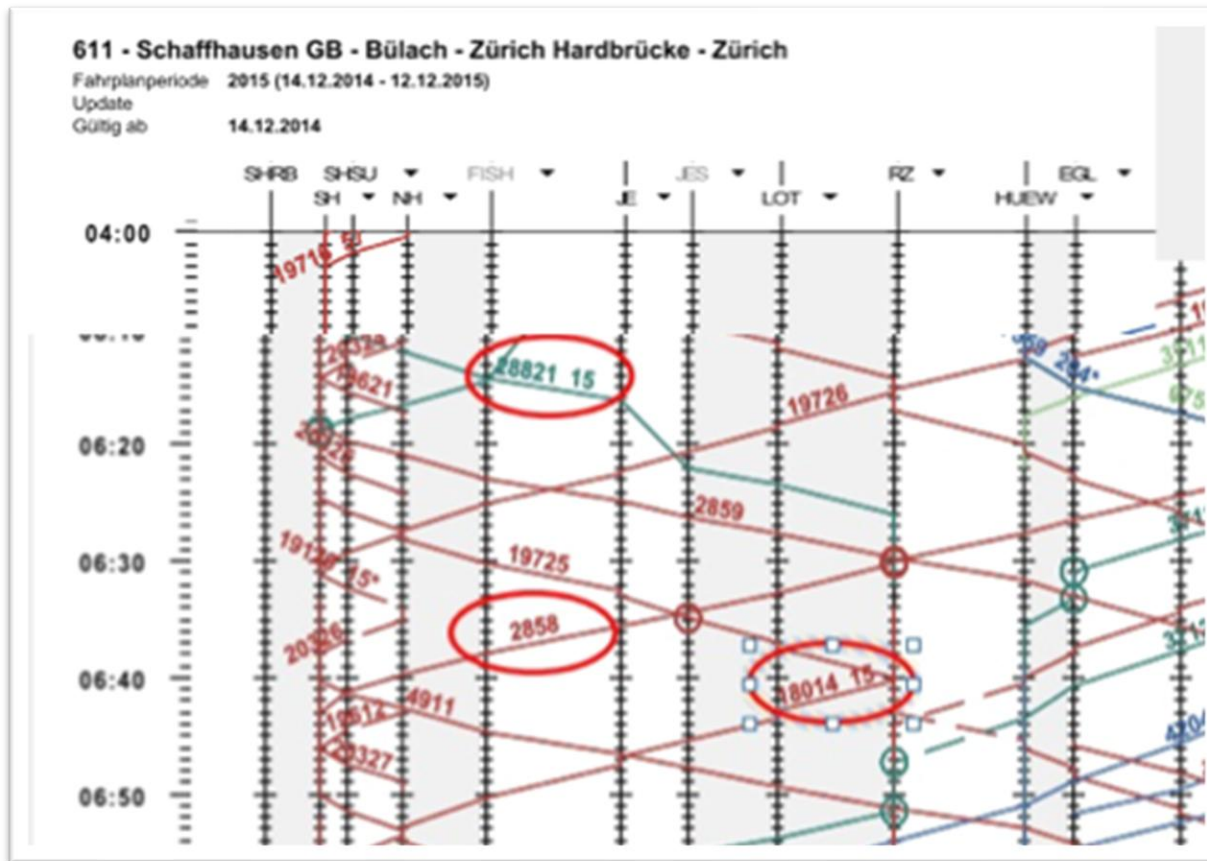
## This leads to

- a potential for high capacity
- a potential for high speeds
- high efficiency

## Which requires

- high safety standards
- planning processes (timetable)
- systems for traffic control

# Timetable.



- Trains operate, unlike individual road traffic, according to a **predefined timetable**.
- Trains run on **paths** (time slots) that must be planned **without conflicts**.
- **Each train is assigned a unique number** used both in technical systems and in communications between train dispatchers and drivers.

# Why use a Control Command System?



# Safety Comparison.

## Risk comparison of selected land transport modes, 2013–2022

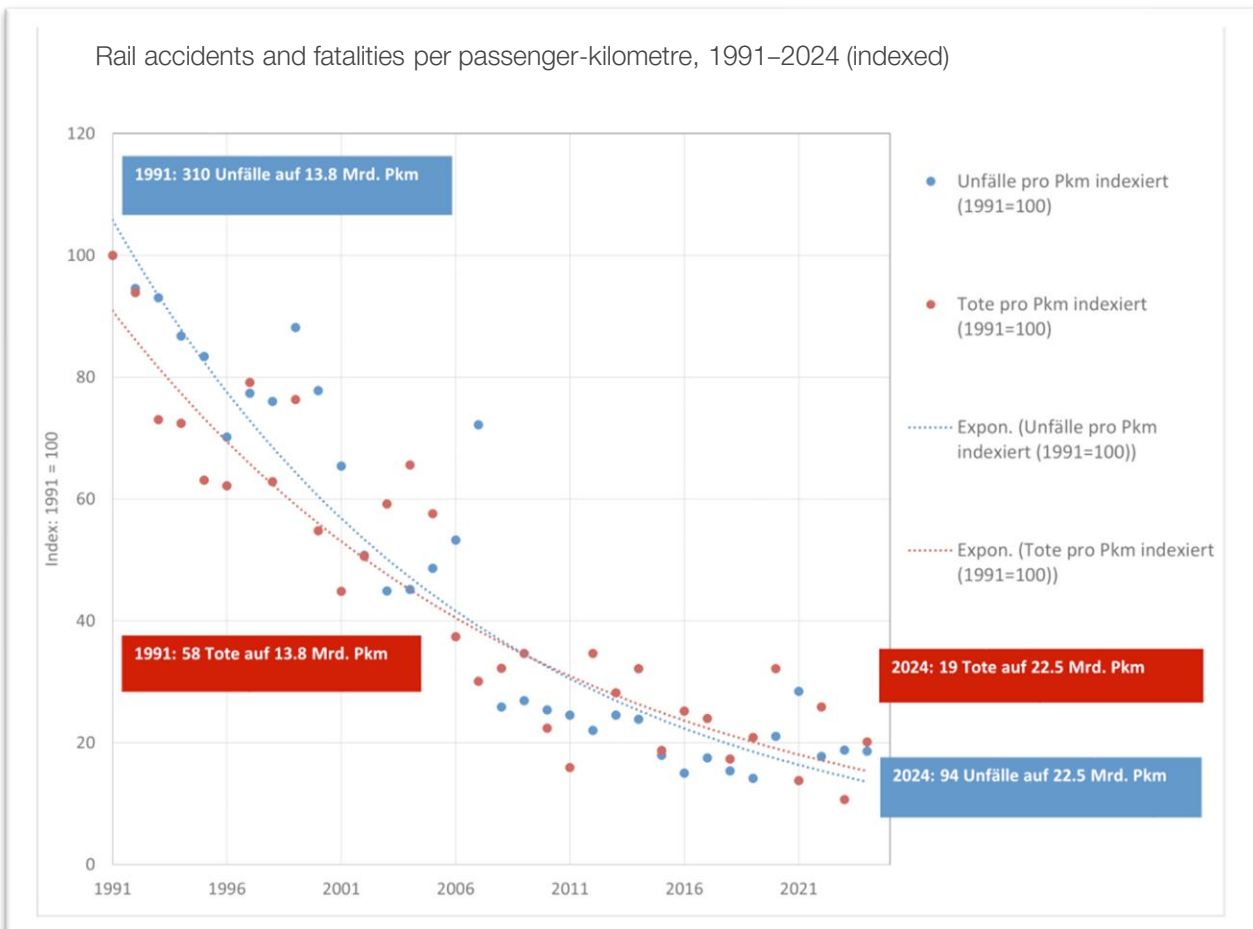
	One fatality per:	Death risk per distance travelled, measured relative to the safest of the compared transport modes, railway (=1)
Railway	190,86 bn passenger-km	1 time
Passenger car	1,13 bn passenger-km	169 times
Bicycle (excl. e-bike)	0,10 bn passenger-km	1985 times
Motorcycle	0,04 bn passenger-km	4732 times

The safety level of public transport is very high.

In recent decades, public transport has even become safer by a factor of two to three. Compared to other modes of transport, the railways are above average safe.

→ However, the **high level of safety of the railways is not system-related, but implemented by means of technical aids.**

# Development of safety.

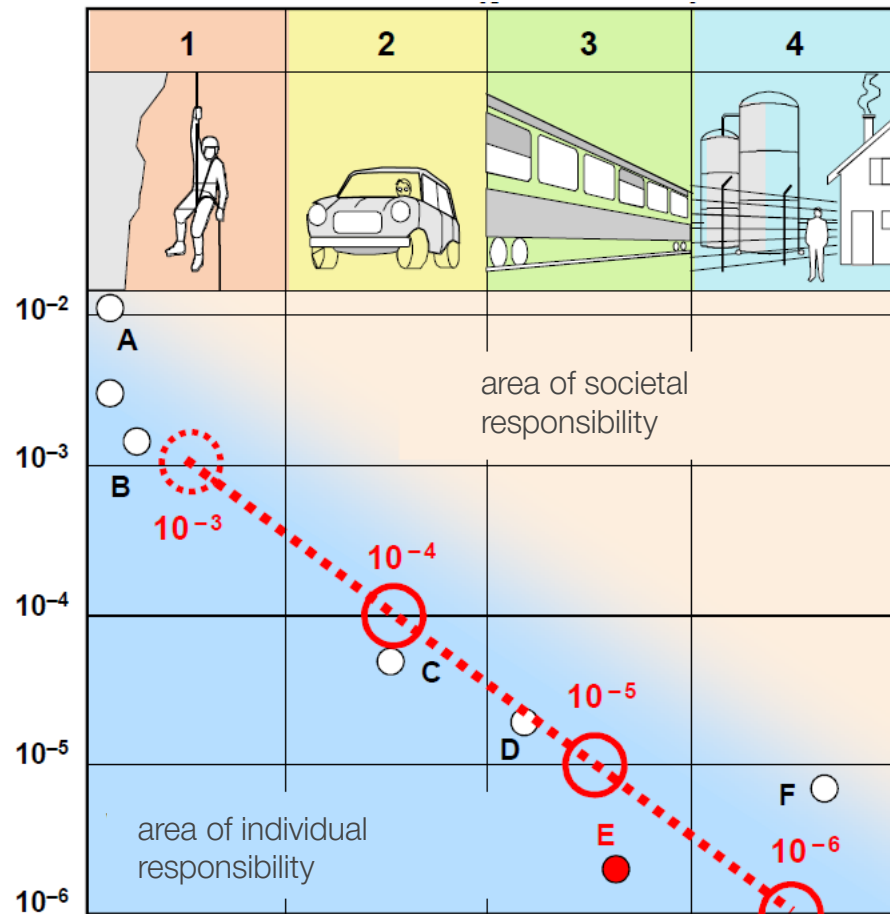


Source: Sicherheitsbericht BAV 2024

- Positive development: Keeping safety high with rapidly growing traffic

# Risk acceptance.

The acceptance of the risks cannot be calculated.



Individual risk per year

- A Drug use
- B Glider flying
- C Car accident (driver)
- D Car accident (passenger)
- E Railway Passenger**
- F Apartment fire (toddler)

# Railway characteristics.

Large kinetic energy can cause major damage.



## Large masses

some 100 t, cargo over 1,000 t

## High speeds

Cargo 100km/h, passenger trains up to 200km/h

## Kinetic energy

Freight train, 100 km/h, 1,000 t: 107 kWh free fall from a height of 40 m(!)

Side collision Neuhausen, 10.01.2013

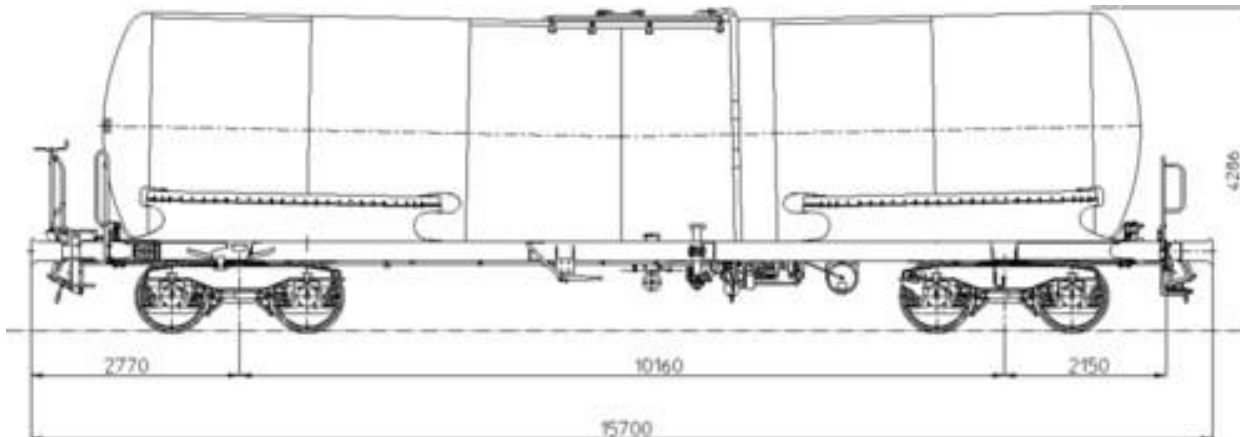
Source: KaPo SH, Plutowiki

# Loading density.



SBB Passenger Transport RABe 511 (KISS)

- 535 seats
- maximum 1373 travellers
- 150 m long
- > 9 persons / m



KESSELWAGEN BTAN 95.4-1 RID

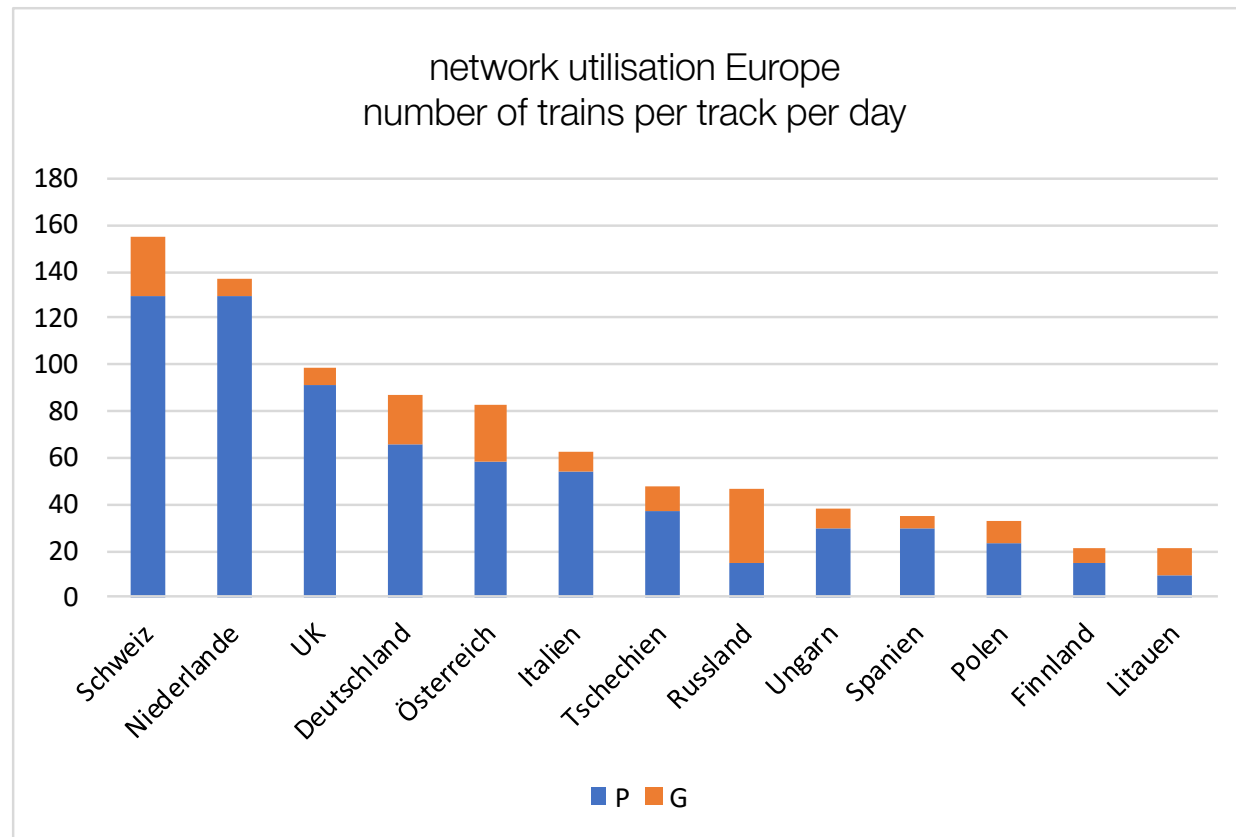
- Load up to 72t
- Volume up to 95 m<sup>3</sup>
- Length 15.7m



It's steel on steel — very low friction, which is good for efficiency but makes braking distances long.

Speed [km/h]	Braking Distance [m] passenger train	Braking Distance [m] freight train
40	100-120	150-200
60	200	300
80	300	450
100	400	650-700
120	600	850-1000
120	350 m ICN with electric brake management	

# High network utilisation.



- Switzerland already has a **very heavily used network**. The **denser the traffic, the higher the probability of an accident**, and the greater the potential for many people to be affected by an incident.

Source: VöV, Fakten und Argumente ÖV CH 2018/19

# Safety

Article 17, paragraph 4 of the Swiss Railways Act (EBG) requires:

'Railway undertakings shall be responsible for the safe operation of railway installations and rolling stock within the framework of the regulations.'

Article 10 of the Swiss Railway Ordinance (EBV) requires

'1 Railway undertakings shall be responsible for the proper planning, construction, safe operation and maintenance of structures, installations and rolling stock.

2 They must adapt existing buildings, installations and vehicles to new findings, changed framework conditions or amended regulations, insofar as this is absolutely necessary for safety."

According to IEC 61 508, safety is defined as "freedom from unacceptable risks".

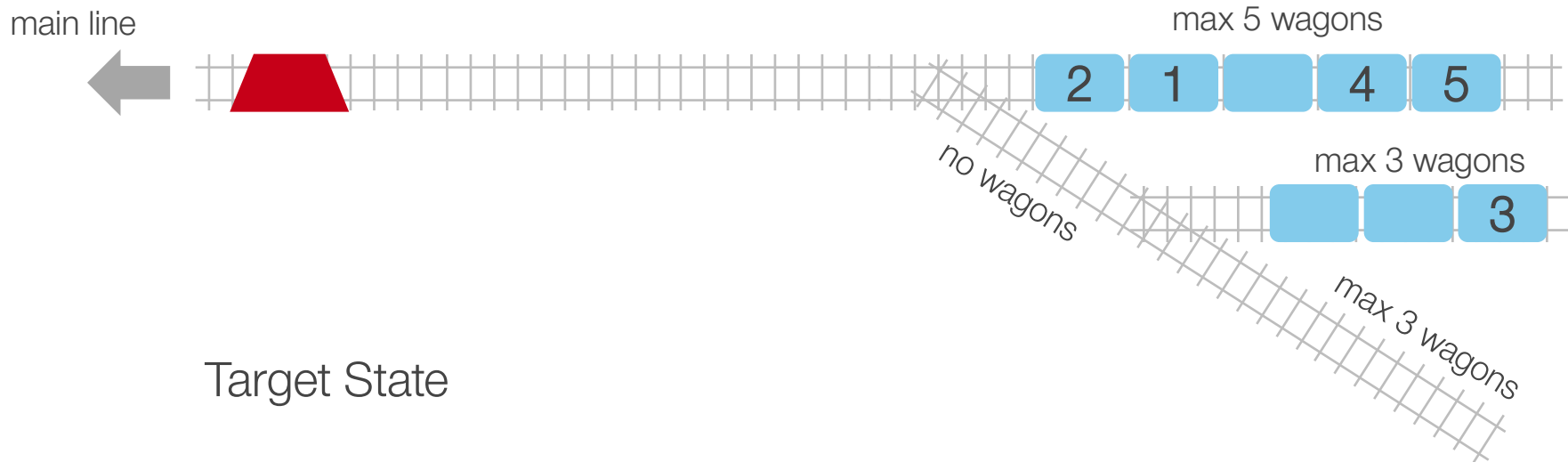
Risk is defined as the product of the magnitude of harm and the probability of occurrence of a specific event (loss event, hazard)

# Warm-Up Problem Shunting Puzzle.

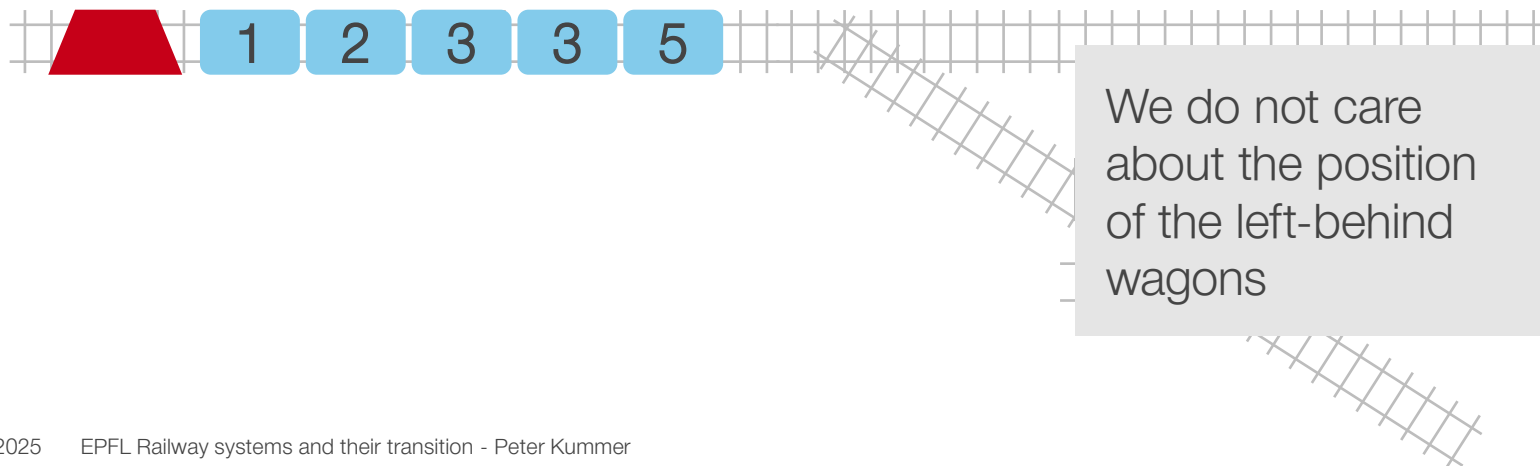
10 minutes

# Warm-Up: «Shunting Puzzle» -The Iglenook Sidings

Initial State (example)

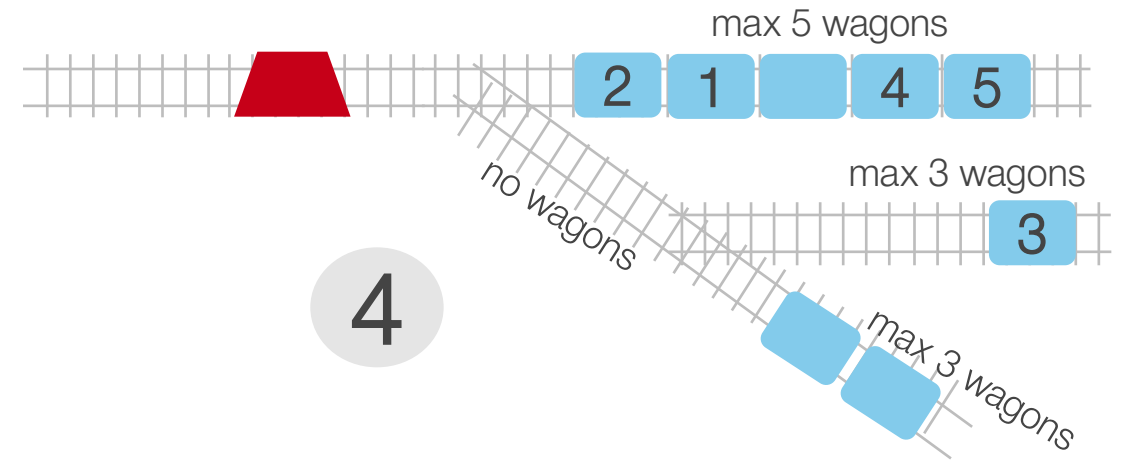
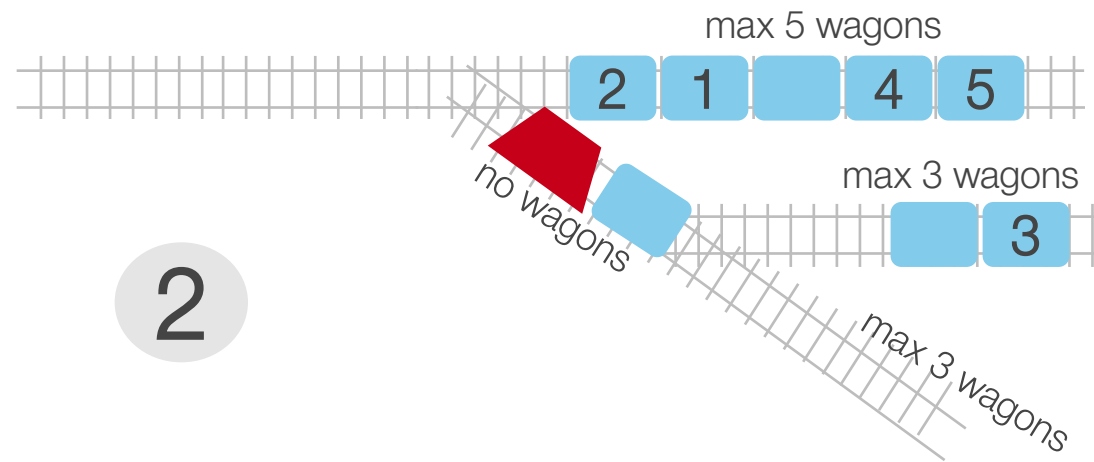
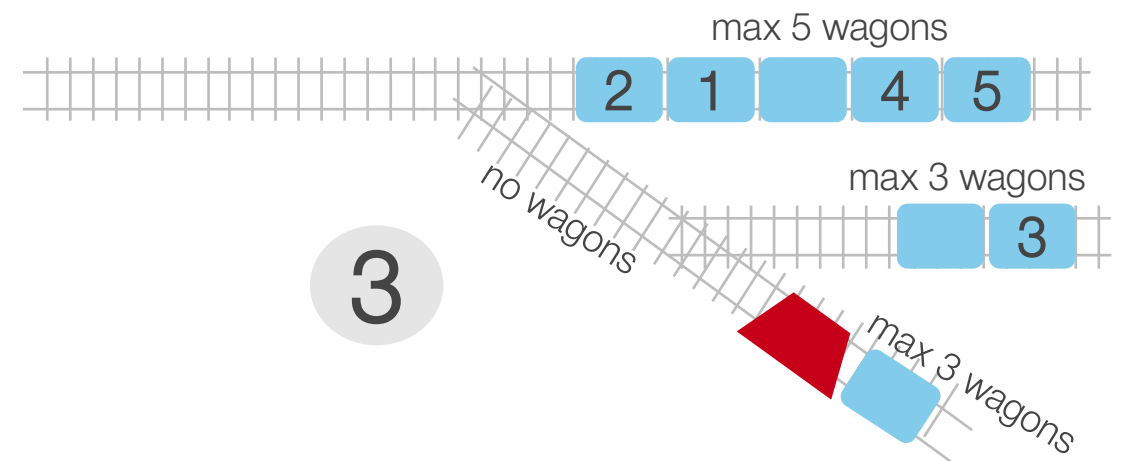
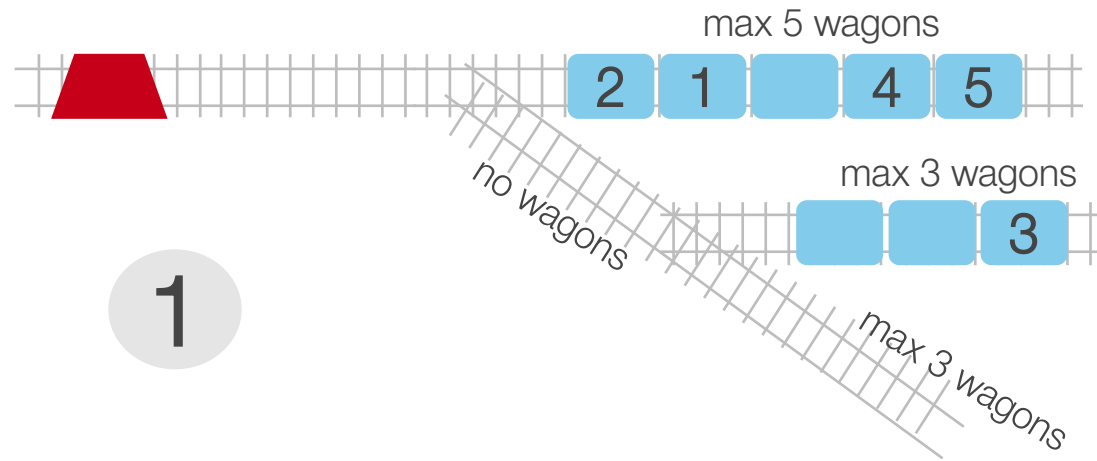


Target State



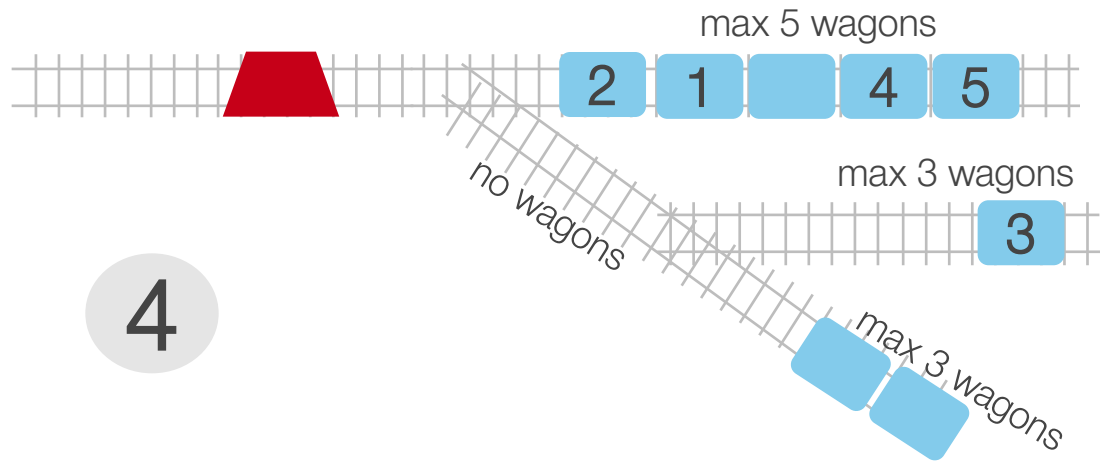
- Train shunting puzzles have been known since the invention of railways
- Two «classic» puzzles are the Inglenook Sidings (presented here) and the Timesaver
- The Inglenook can have different starting configurations
- The presented configuration is rather simple and hopefully no problem for you at all.

# Try this shunting puzzle for yourself!

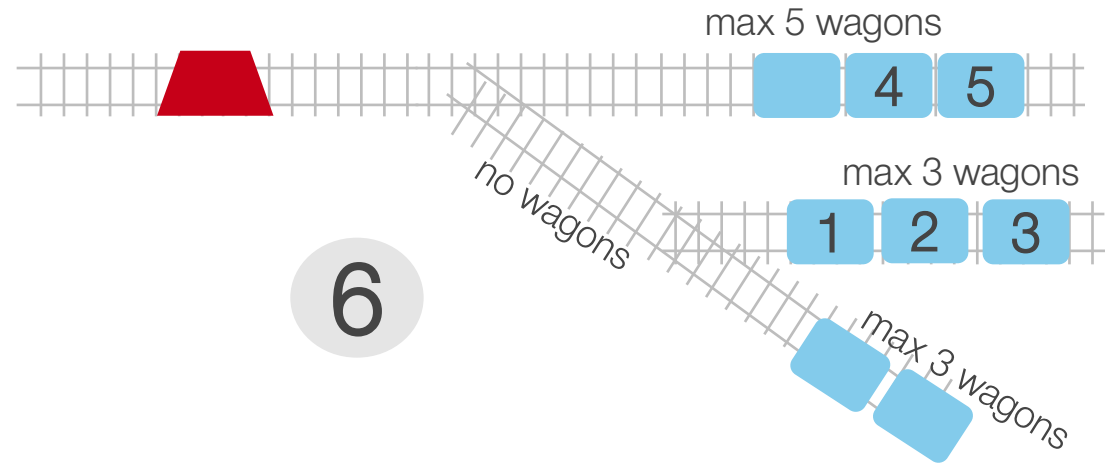


# Try this shunting puzzle for yourself!

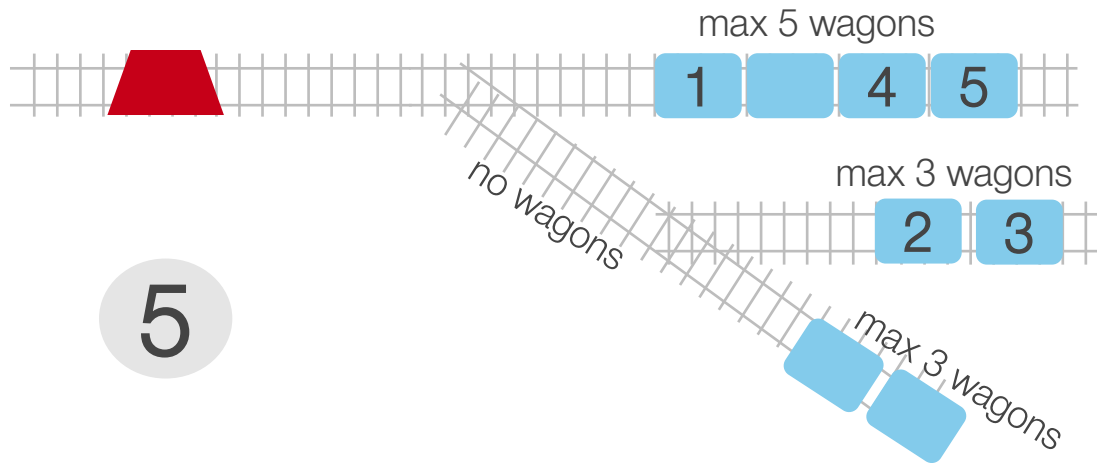
4



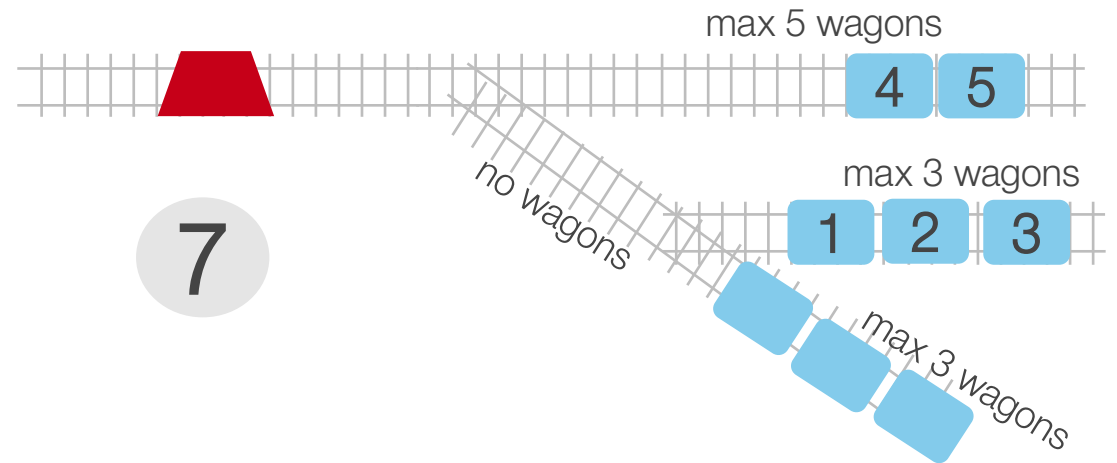
6



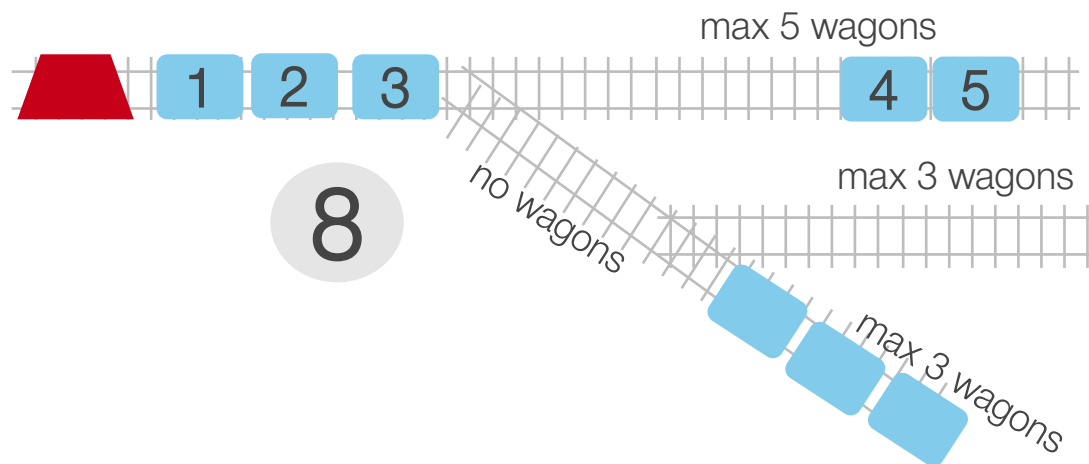
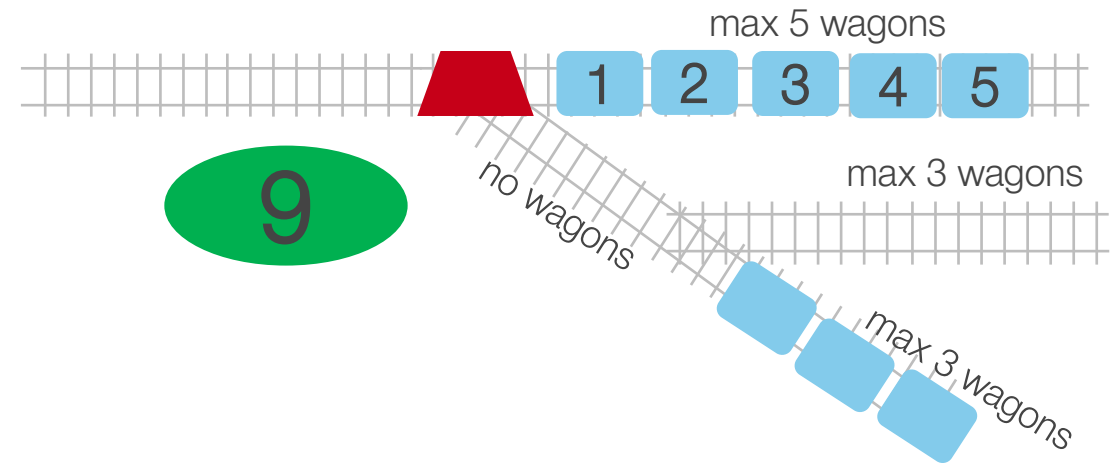
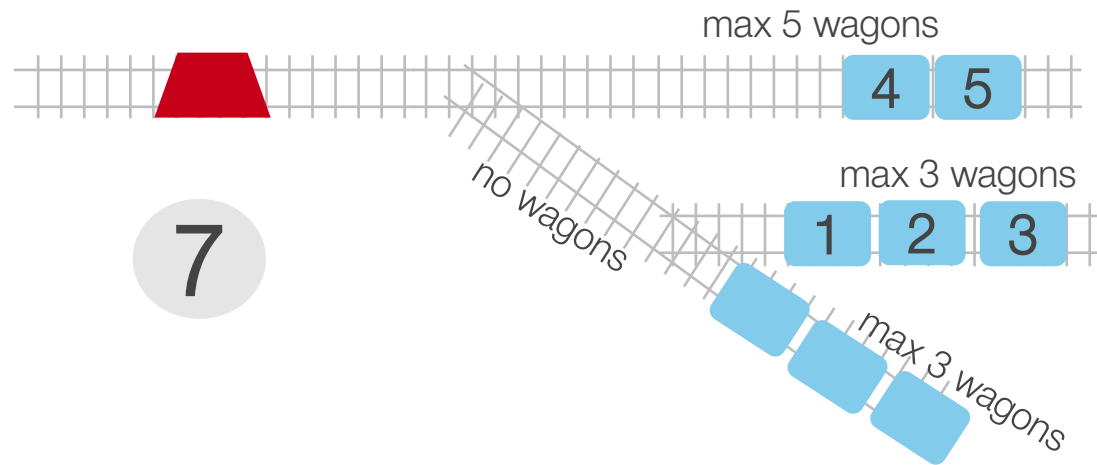
5



7



# Try this shunting puzzle for yourself!





# Shunting competition #966.

<https://beaver.games/shunting/#966>

# Learnings from the shunting puzzle.

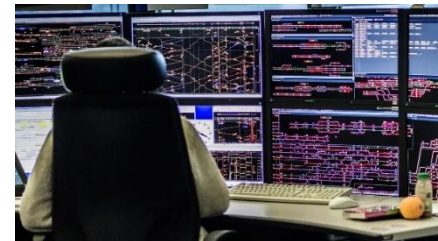
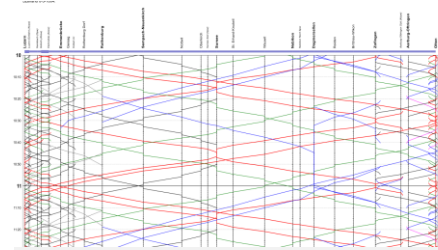
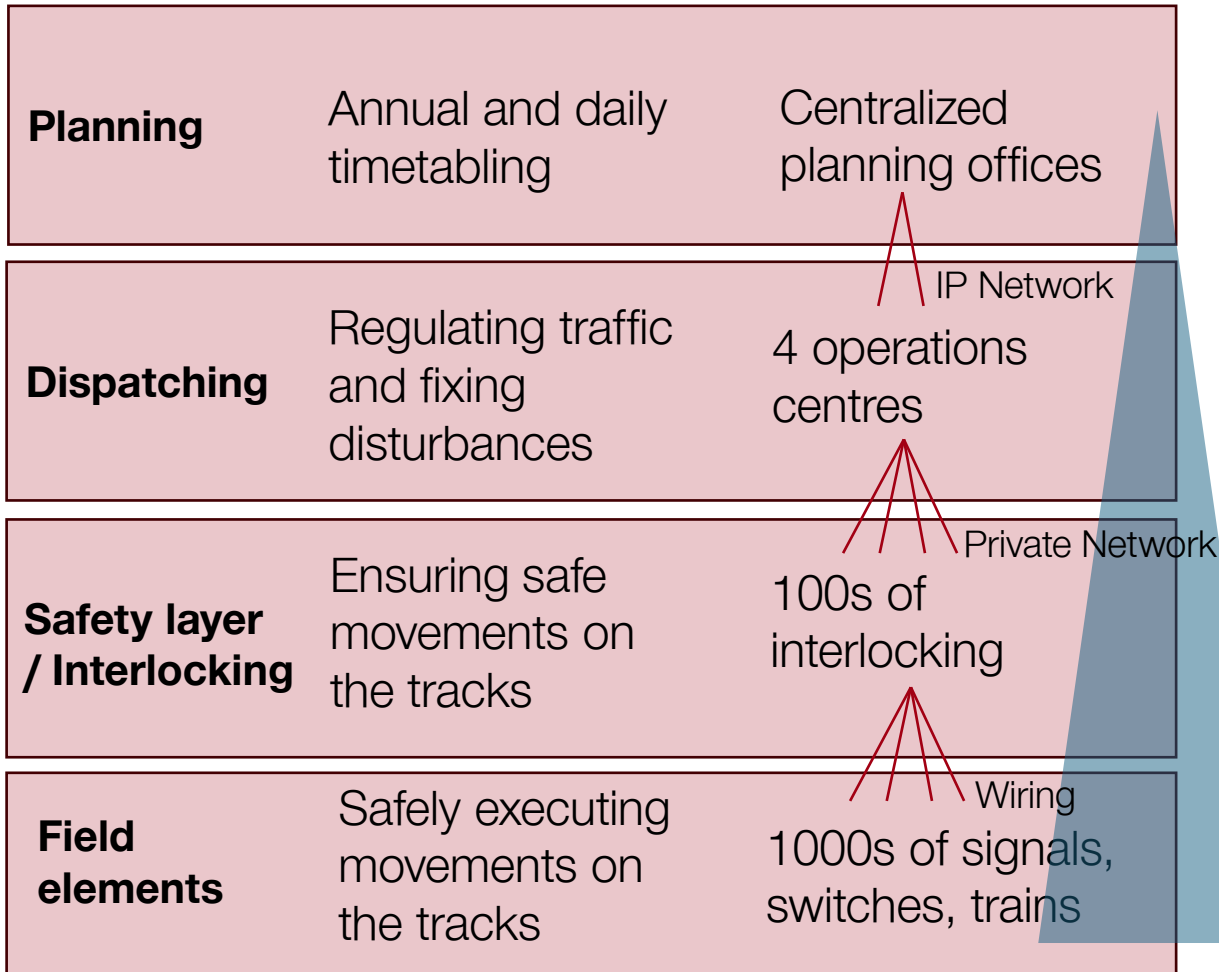


- Movements on a railway network are very much constrained
- This is the reason why sophisticated traffic management systems are necessary for optimized railway operations.
- Some configurations of the «Inglenook» puzzle take up to 20 movements for a solution
- Eager for a mathematical treatment? See here <https://arxiv.org/pdf/1810.07970>

## 2. Architecture of the System.

# The overall system for planning and controlling railway traffic.

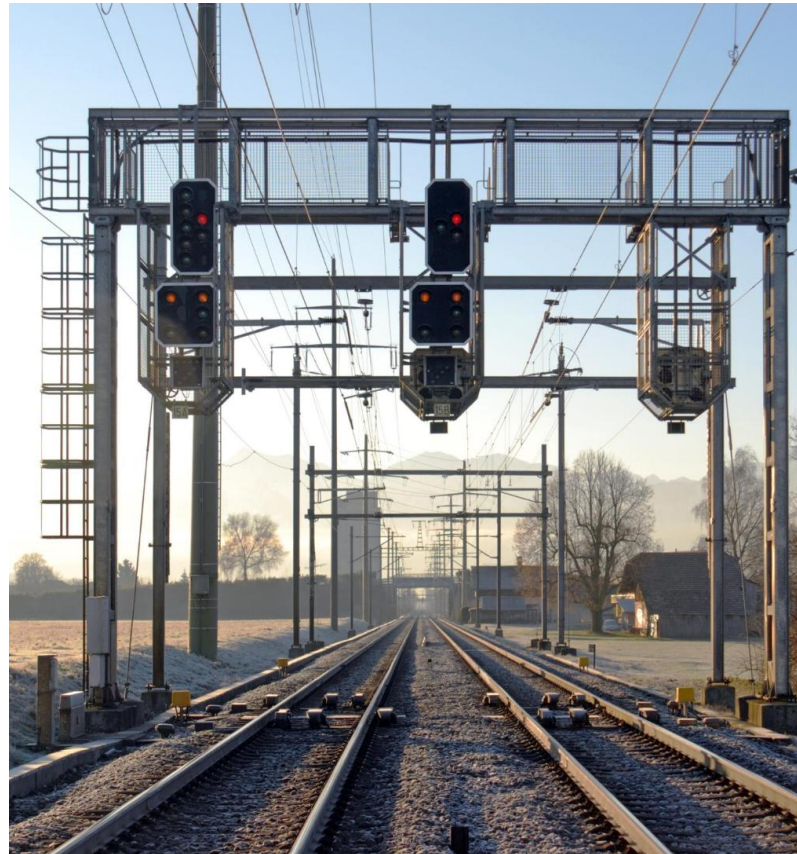
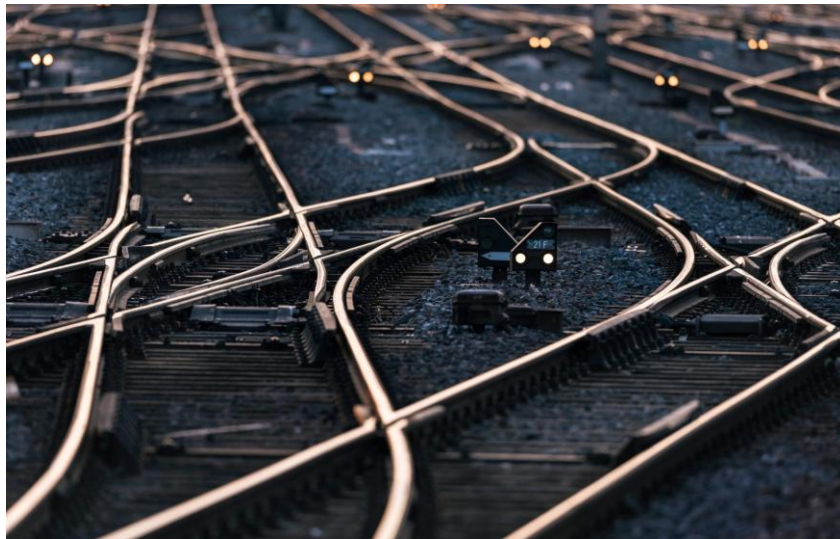
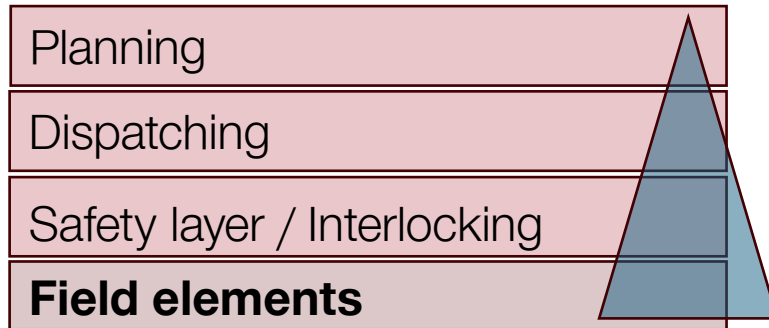
Structured in 4 essential architectural layers.



- The 4 layers are essential for mastering the complexity of the overall system.
- Each layer has clearly defined responsibilities.
- From top to bottom we have:
  - Increased safety responsibility
  - More distribution, less centralisation
  - More real-time execution, less advanced planning

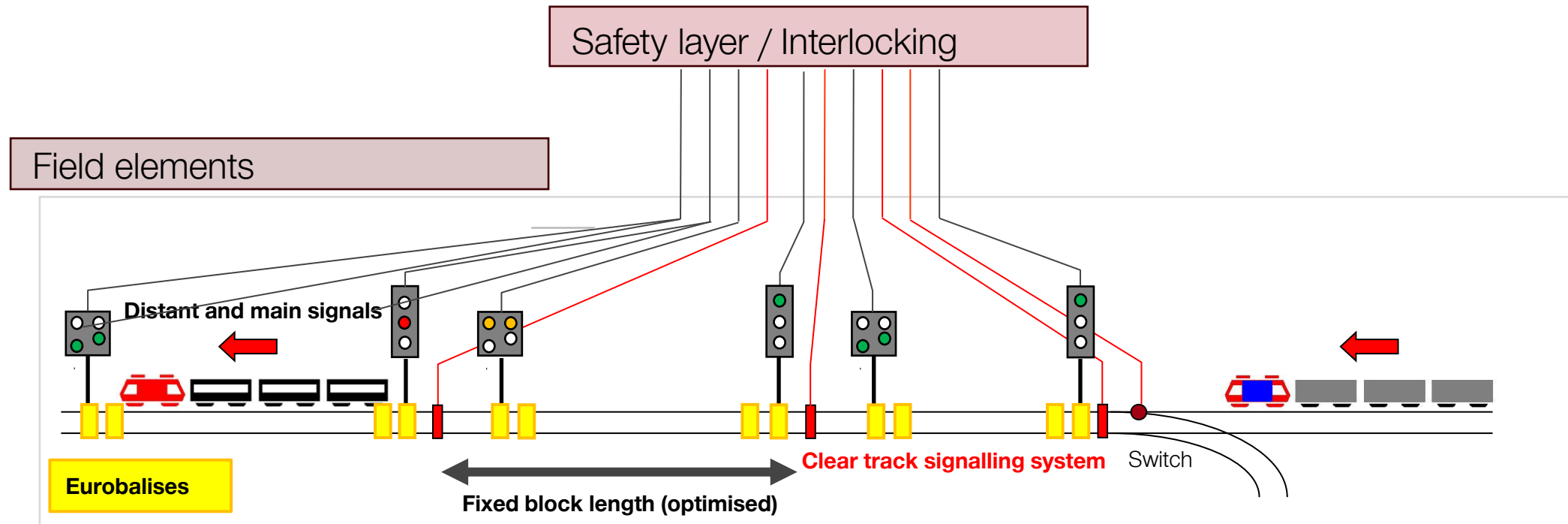
# Field layer: contains the most important control elements.

«On the track» are switches, light signals and track occupancy devices.



- **Switches** (a.k.a. points) are the only way for a train to change tracks.
- **Light signals** are essential for safe circulation. Light signals **are combined** with train protection systems, which stop a train, if the train driver disregards a signal.
- **Track occupancy** devices tell the interlockings, whether a section of the track is occupied or clear.

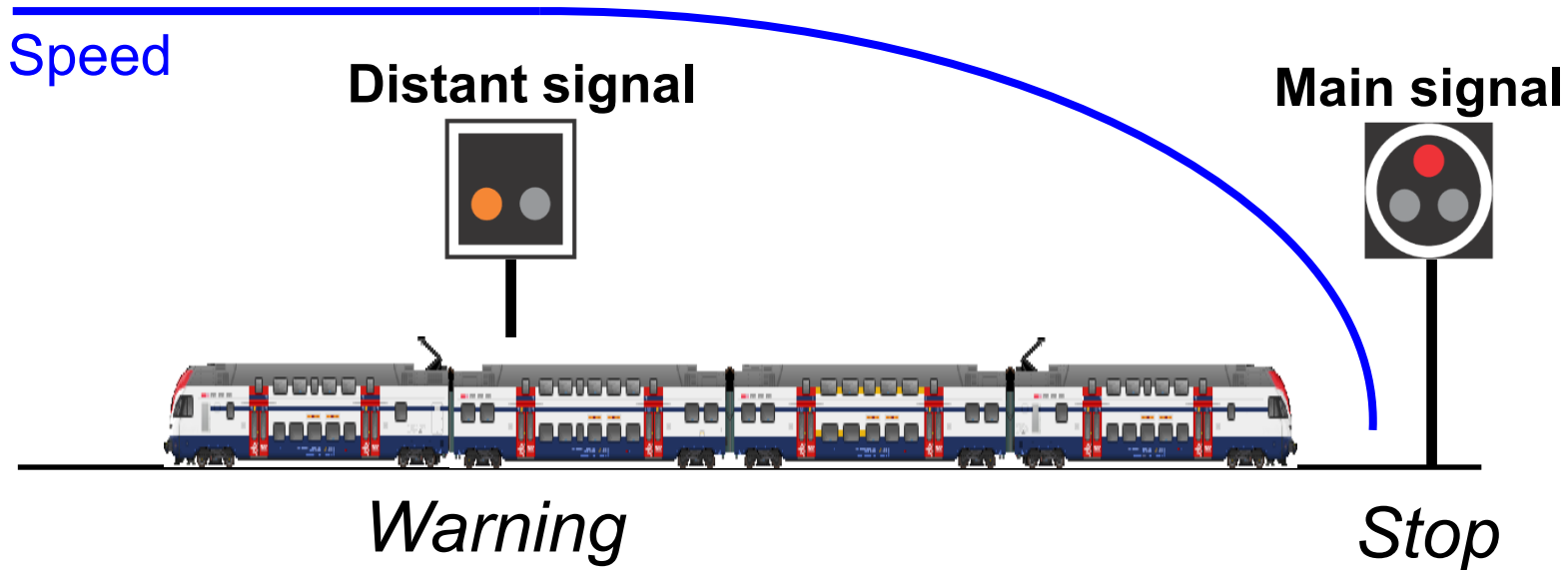
# Very numerous field elements.



SBB (2024): 12700 switches, 35400 signals,  
 1100 level crossings, 17800 sets of balise (two each), 34800 axle counters/insulated rail joints  
 (clear track signalling system), ..

# Enormous braking distances.

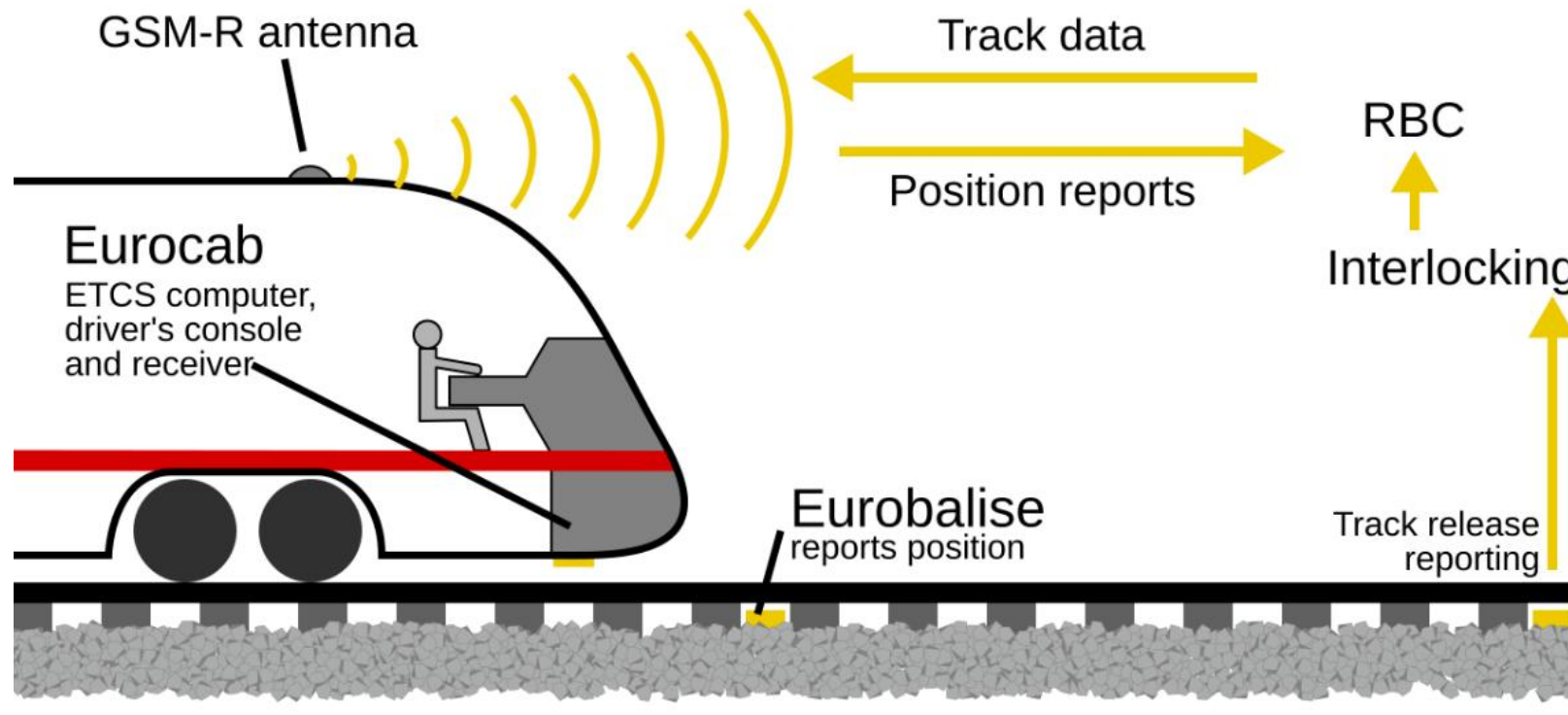
Require «advanced» or «distant» signal to announce the state of the real signal.



- A train must be able to stop between the distant signal and the main signal.
- The signal spacing determines the permitted speed.
- Depending on braking performance, trains may be able to travel at different speeds.

# A modern alternative to light signals.

## Communication based train control systems like ETCS.



- The train **detects** a balise (a coded tag) in the tracks
- The train **reports** the balise by radio to the interlocking
- The interlocking knows where the train is
- Based on the rules in the interlocking, the interlocking sends a «**moving authority**» (e.g. you may advance another 850m») by radio to the train
- The **on-board computer** displays the moving authority to the driver and supervises the movement.

# How do we know that a track is occupied or clear?

Currently there are 2 types of track occupancy devices

To ensure safety in the control of train traffic, the signal box must know the positions of trains at all times. Clear track signalling systems are used for this purpose. In addition, train positions are used in the higher-level control systems of operations centres for traffic management.

Two principles are used today for the clear track signalling system:

1) Track circuit

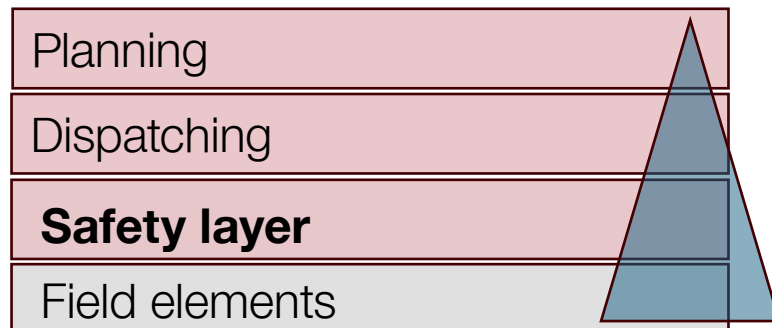


2) Axle counter



# Safety layer with the interlockings (a.k.a. signal box).

Ensure that two trains can't occupy the same spot and collide.



- Interlockings control a station or a certain sector
- Based on known occupations, the interlockings control the position of switches and the light signals to ensure no collisions are possible
- The interlockings are the key element of safe railway operations
- Interlockings must adhere to the highest safety standards

# Why interlocking signalling systems?

## Protective functions of the signal box (interlocking)

Protection against subsequent journeys:

Protection against oncoming journeys:

Driving on an obstacle that is not detected:

Avoiding incorrect positions of the points:

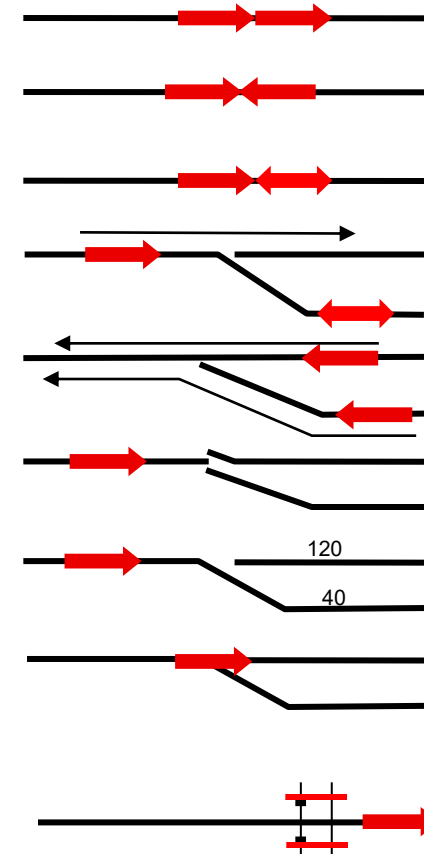
Protection against side-on collision:

Prevention of undefined switch settings:

Protection against driving at too high speeds:

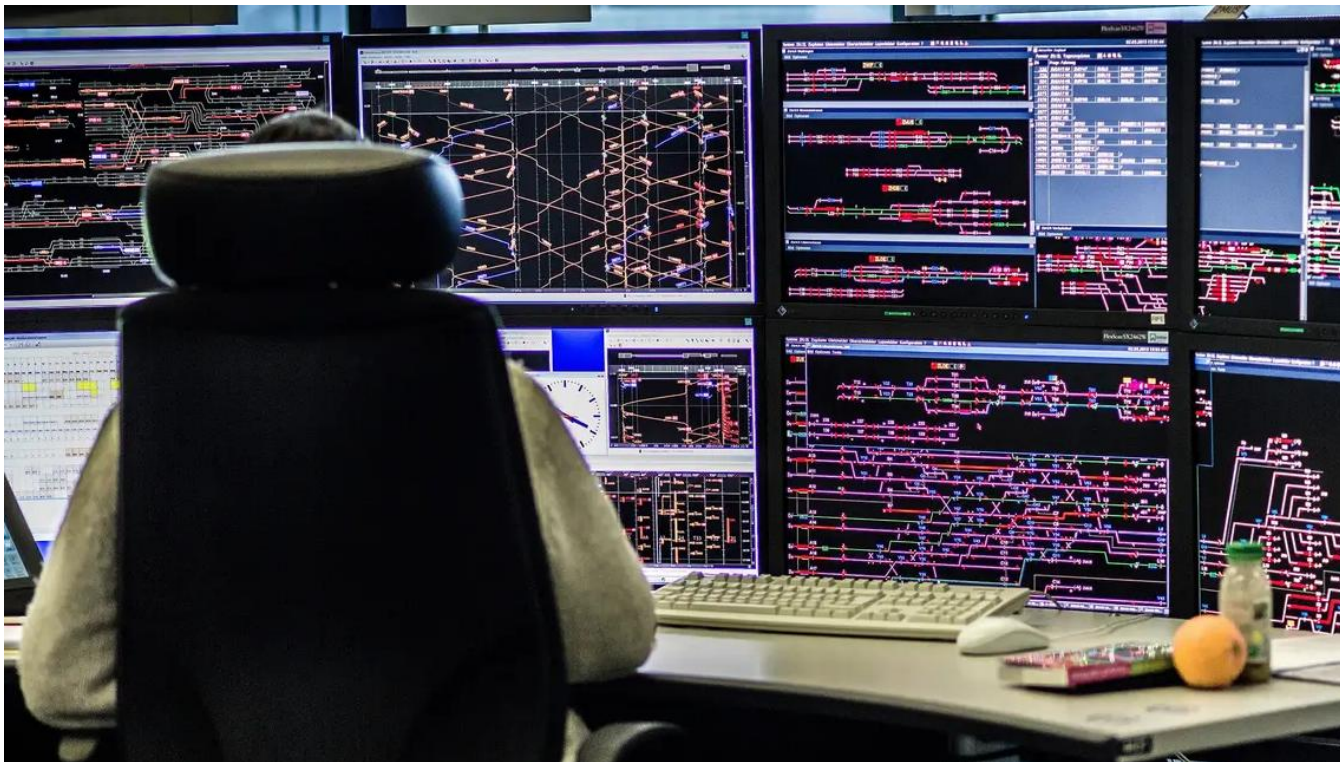
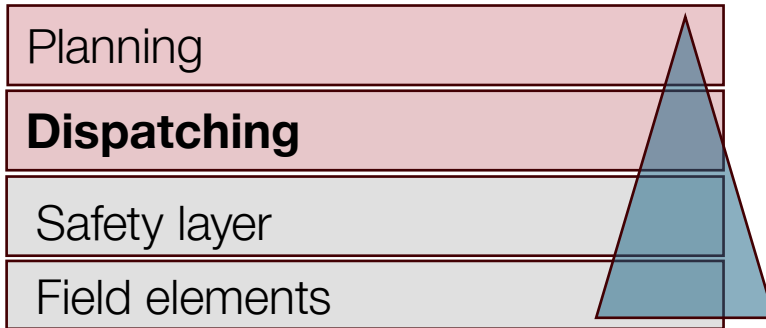
Preventing point switching before/under a train:

Protection of level crossings:



# Dispatching Layer:

«Produces» the timetable and handles exceptions.



Dispatching is performed in **4 operations** centres in Switzerland

Consists of **800 dispatchers covering 7x24 shifts**

Main activities in dispatching are

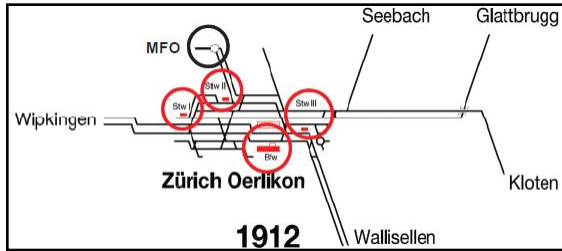
- ensure **execution of the timetable according to plan**
- **Handle disturbances to get back to plan**
- **Handle short-term requests not in the plan**

Main functions of the supporting systems are

- **Optimise capacity**  
**Real-time optimisation** of the production target
- **Instruct the interlockings**
- Provide a **country-wide overview** of railway traffic and forecasts
- **Provide data to assist drivers** (speed recommendations)

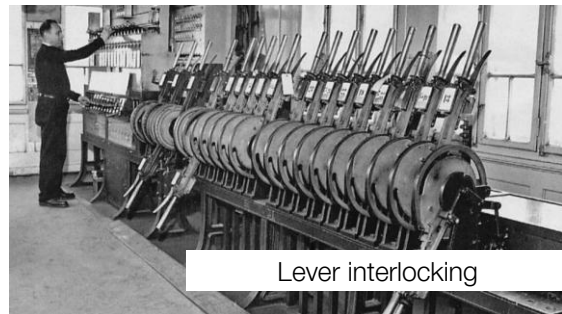
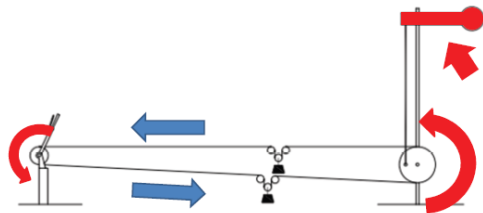
# Development of signal operation using the example of Oerlikon.

1912



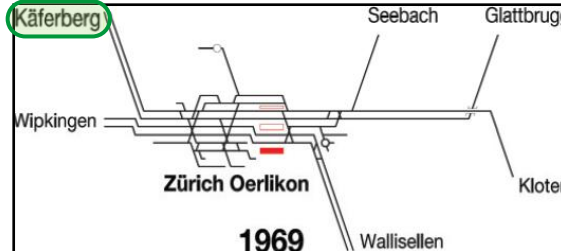
130 trains per day

Double wire pull



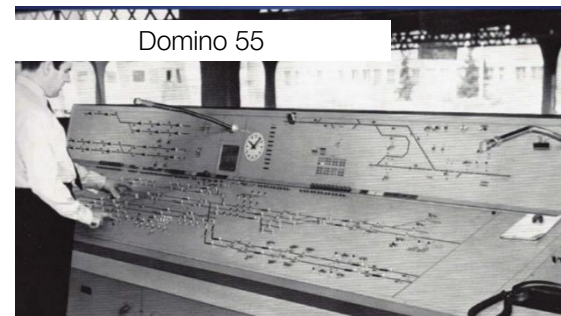
Lever interlocking

1969



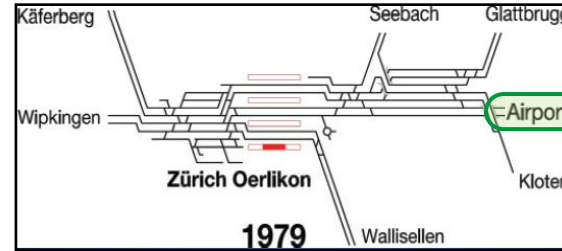
370 trains per day

Domino 55



Regional train monitoring

1979



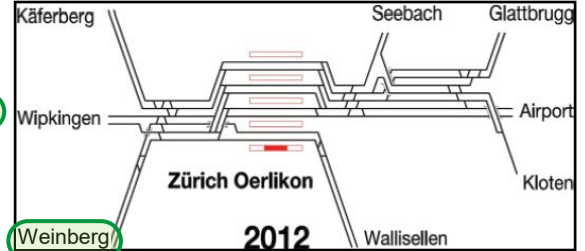
490 trains per day

Domino 67



Zurich Operations Control Centre

2012



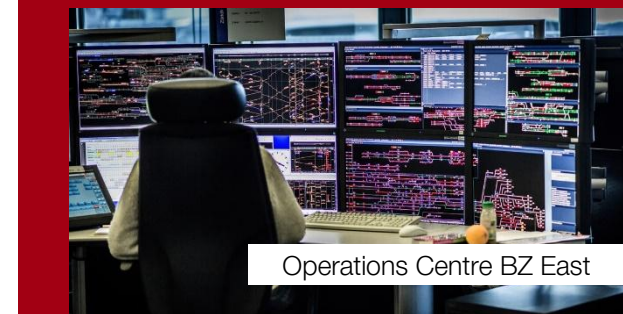
880 trains per day

Command room Oerlikon



Seit 2015

1070 trains per day (2023)

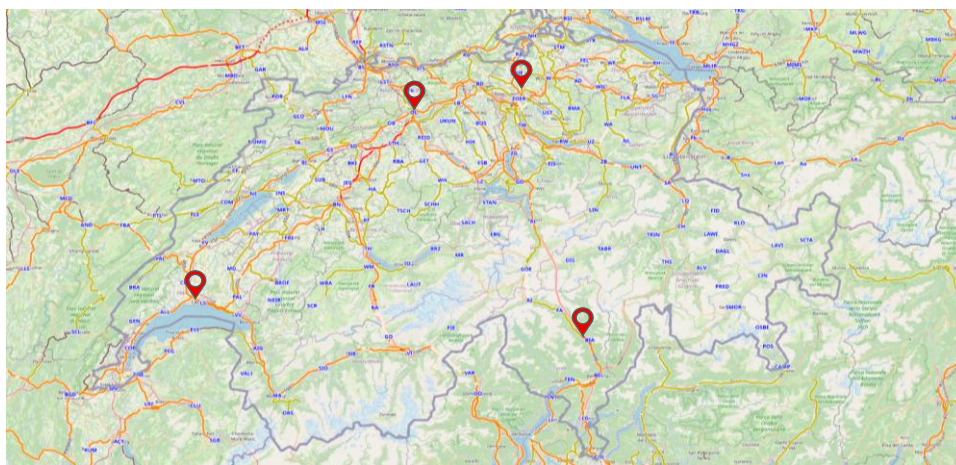
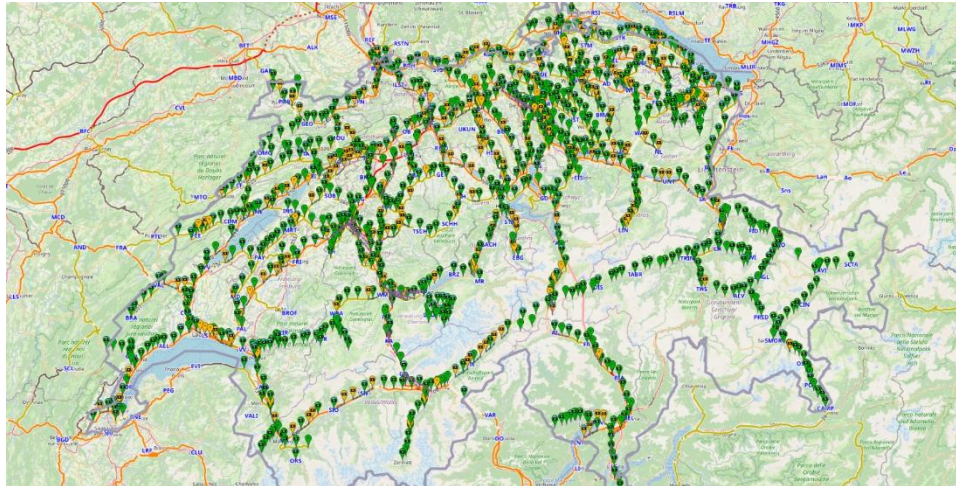


Operations Centre BZ East

Source: 100 Jahre Fortschritt in der Eisenbahnsignaltechnik (Stalder / Wägli, SER 6/2013)  
ANABEL (DfA Reports Abfrage, Zugzahlen 2023)

# Production Yesterday and Today.

Transitioning from decentralised dispatching to a centralised system with four main train control centres.



1980

- Approximately **600 local dispatchers** at every major station manage operations.
- Introduction of the first remote control systems to manage multiple stations from one location.

2007

- Centralization and introduction of train control centres.
- Only 25 remote control centres in operation.

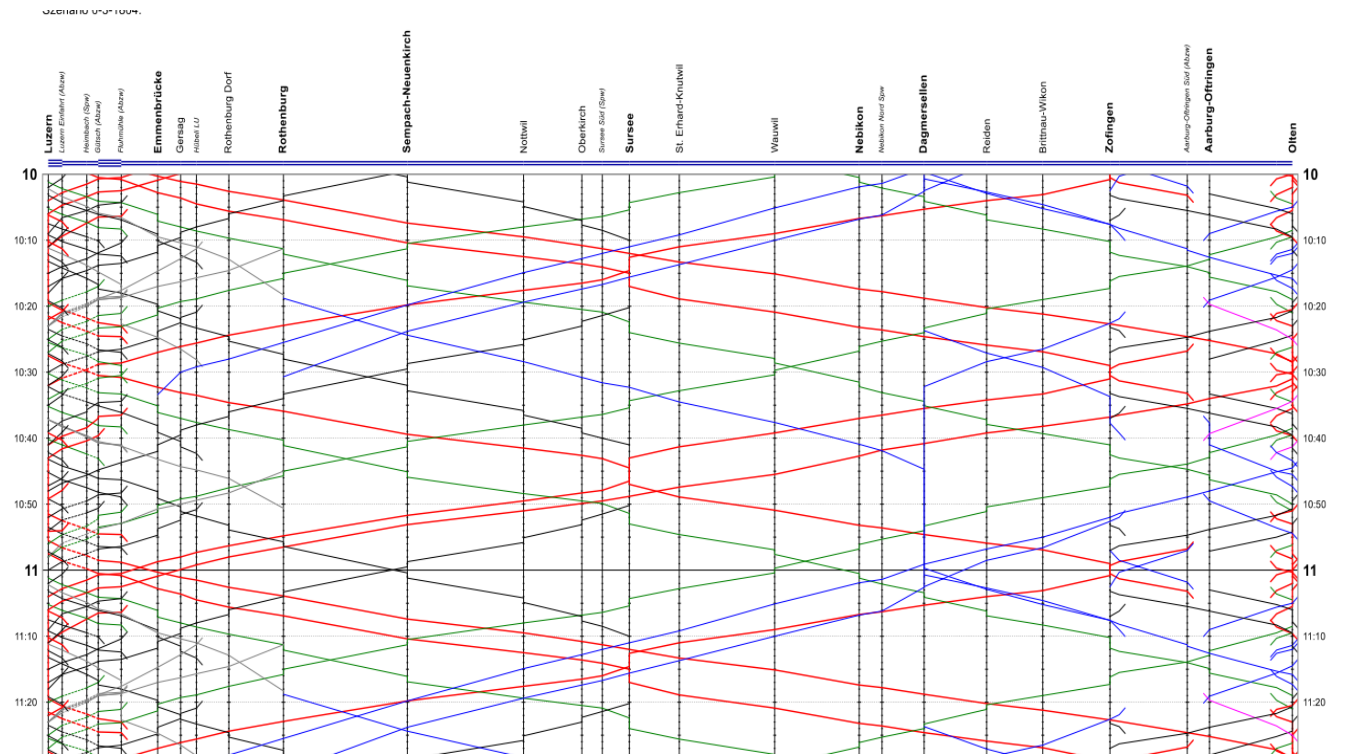
2017

- **Four state-of-the-art train control centres for the entire network.**



# Planning Layer.

Will be discussed in lectures 9 and 10 .



# Wrap-up: short summary on all essential system layers and their contribution to safety and capacity.

			Safety relevance	Capacity relevance
Planning	Annual and daily timetabling	Centralized planning offices	Very low	High
Dispatching	Regulating traffic and fixing disturbances	4 operations centres	low	High
Safety layer / Interlocking	Ensuring safe movements on the tracks	100s of interlocking	Very high	Low
Field Elements	Safely executing movements on the tracks	1000s of signals, switches, trains	Very high	Low

From the bottom to the top we have

- Increasing centralization
- Increasing responsibility for capacity and punctuality
- Decreasing responsibility for safety

Layered architecture

- ensures a safety «gatekeeper» for the physical world
- allows freedom for optimization in upper layers

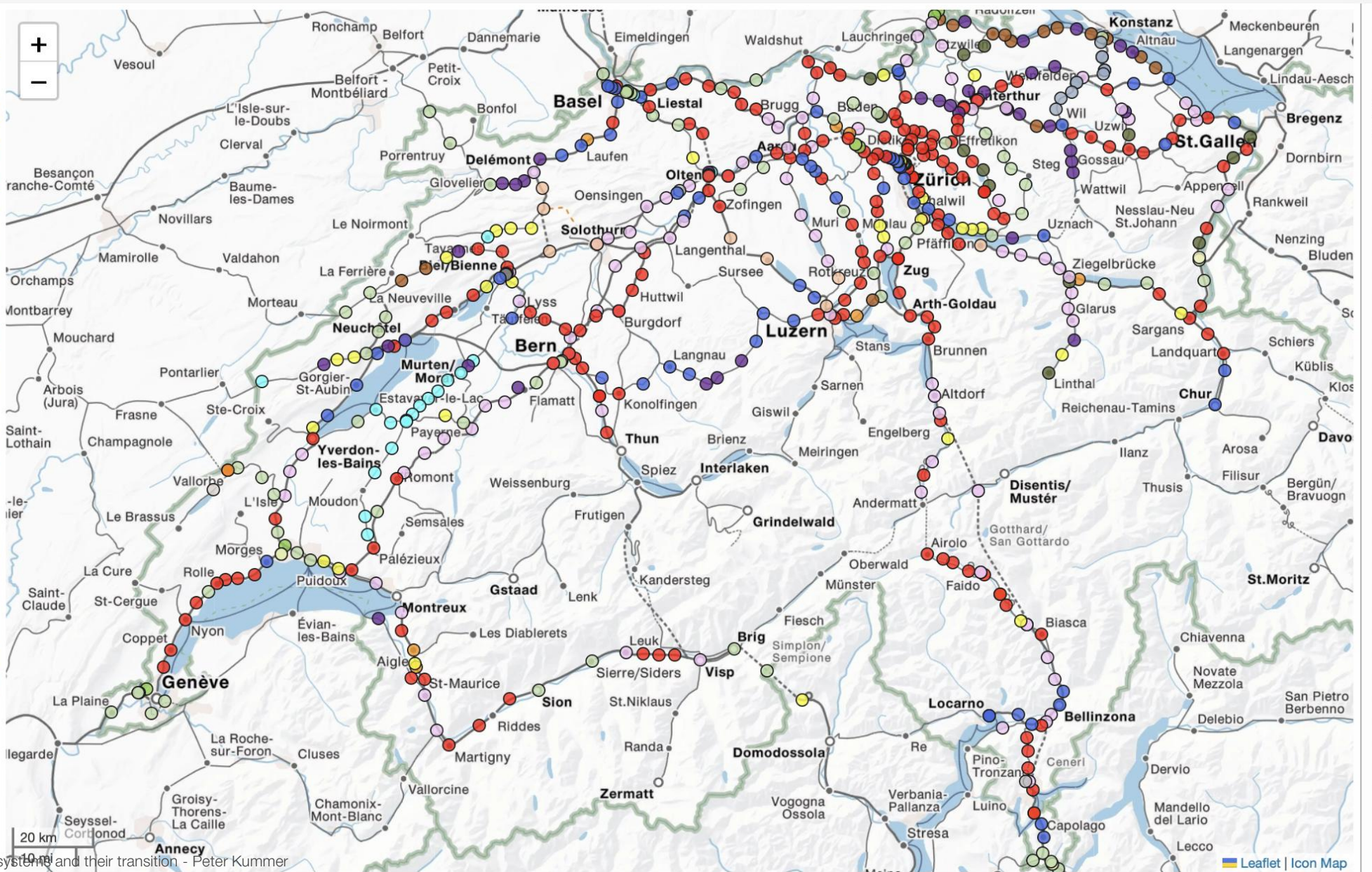


# 3. National railway development and its challenge for Europe.

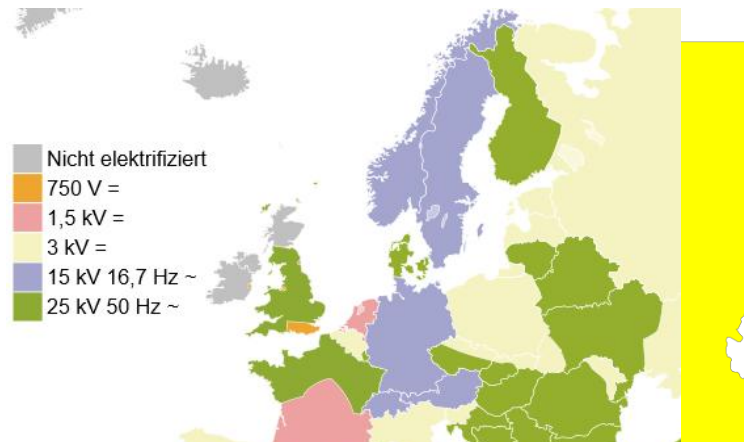
### Legende

IST Stellwerktyp

- Andere Relais-Kleinstellwerke
- Bruchsal G/H/J/K
- Do 55m
- Do 55o
- Do 67
- Do 67 Typ N Prototyp
- Do 69
- Do C
- ELEKTRA1 abgesetzt
- ELEKTRA1 zentral
- ELEKTRA2 abgesetzt
- ELEKTRA2 zentral
- Integra
- Kleinstellwerk
- KRS 97
- M+Z
- mech. Ablaufstellwerk
- MSR 32
- RBC Alstom
- RBC Siemens
- RBC Thales
- SIMIS W abgesetzt
- SIMIS W zentral
- SIMIS-C abgesetzt
- SIMIS-C zentral
- SIMIS-IS
- SIMIS-IS abgesetzt
- SpDrS SBB
- VES



# Barriers to interoperability.



Quelle: Wikipedia



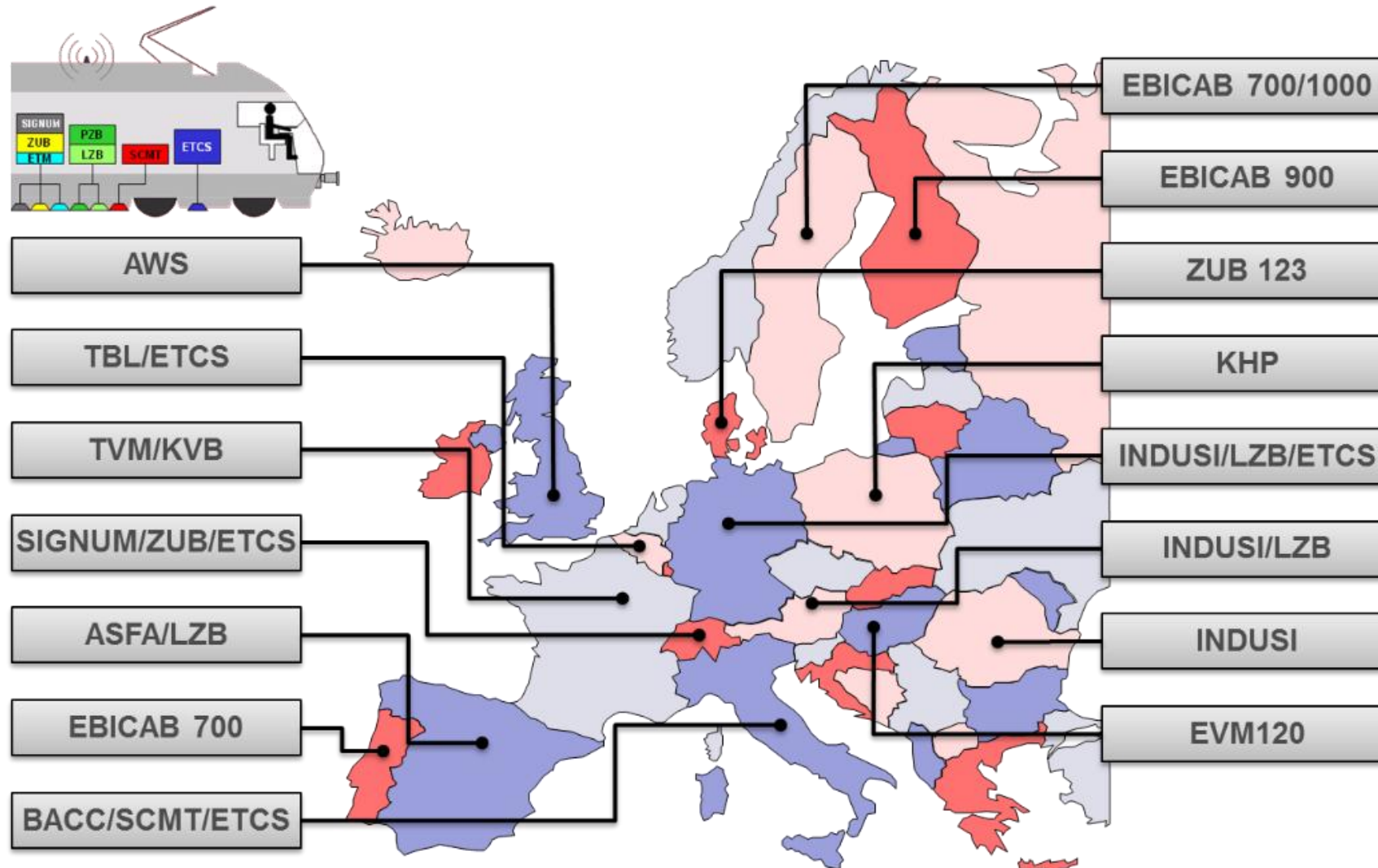
Source: Wikipedia

Barriers to interoperability in rail transport have often arisen for **historical reasons**.

Individual railway companies adopted different solutions in various areas of the railway system, such as:

- track gauge
- power supply system
- loading gauge / structure gauge
- **operating rules**
- **signalling system**
- **train protection (train control)**

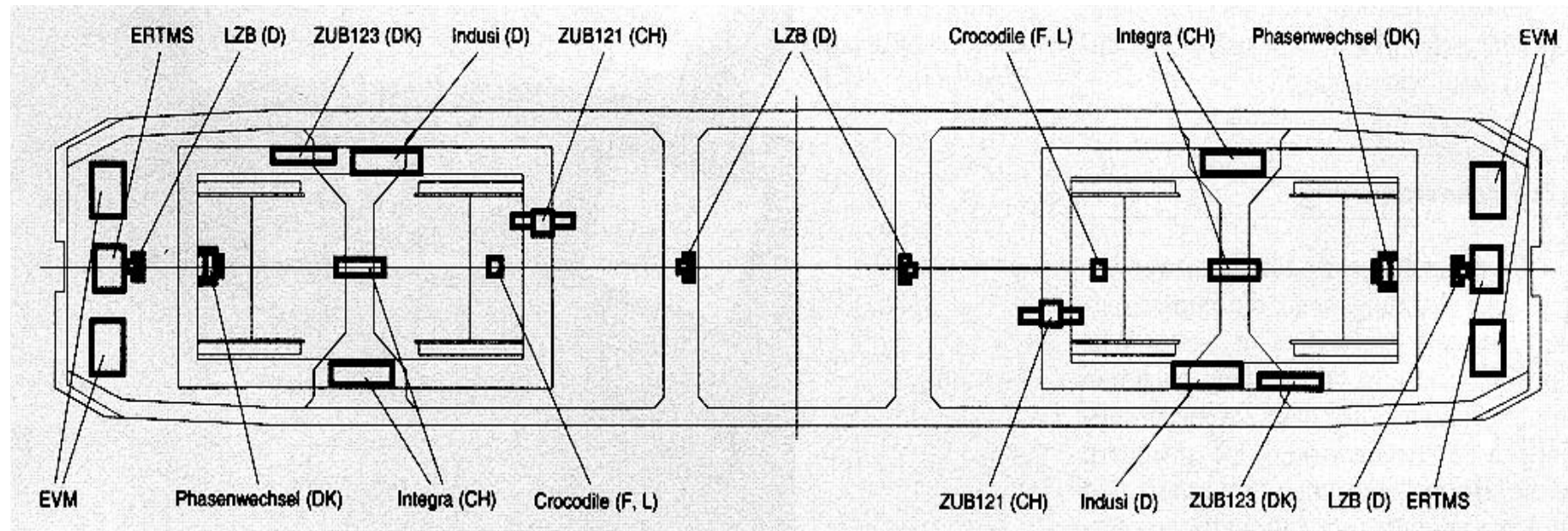
# Train control systems in Europe.



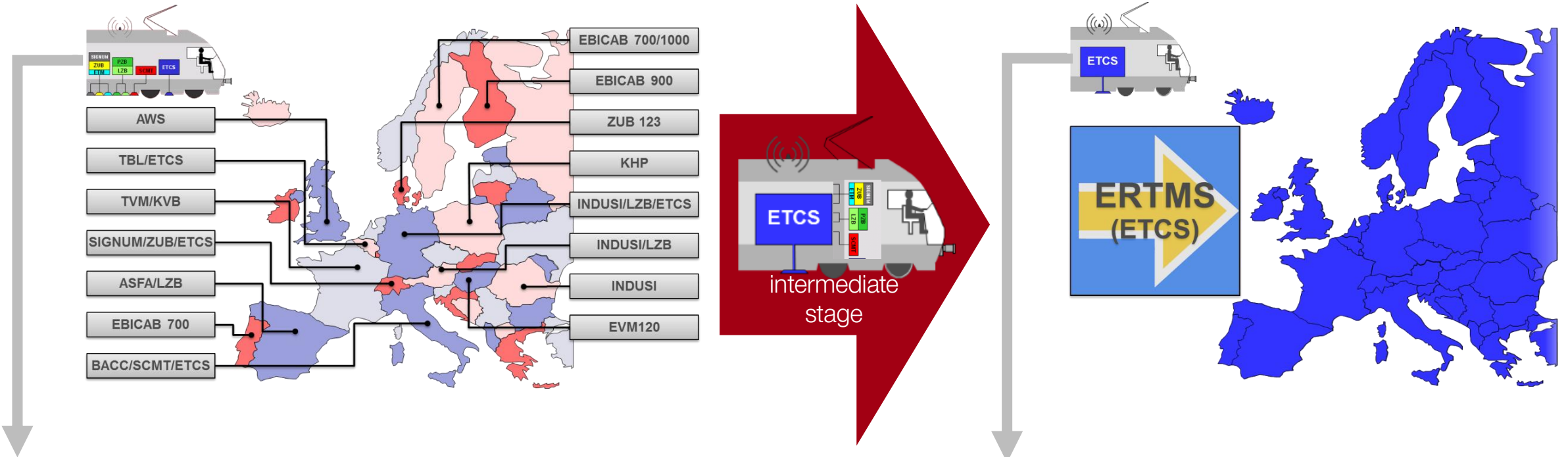
# Consequences for a traction unit.

If a traction unit is to be used throughout Europe, **this requires relatively extensive equipment with all existing train protection systems.**


DBs BR 185 is used, for example, in Germany, Denmark, Norway, Sweden, Luxembourg, France, Switzerland, Austria and Hungary.



# Interoperability in practice. Train protection – ETCS as a marathon.



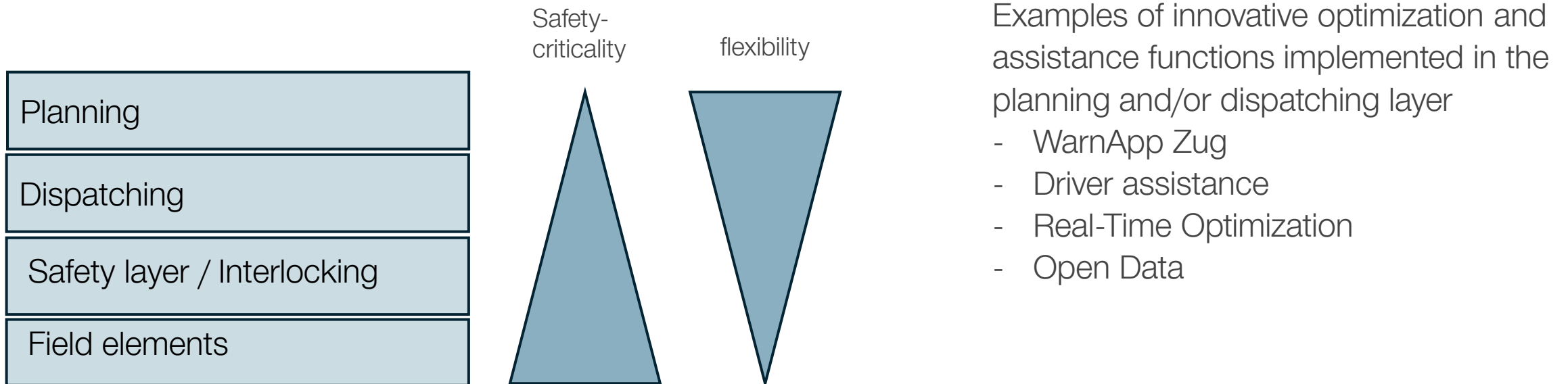
<p>France: Crocodile Contact brush</p>  <p>Source: Wikipedia Zugbeeinflussung</p>	<p>Italy: SCMT-Antenna</p>  <p>modellismotropea.blogspot.com</p>	<p>Germany: LZB-Antenna</p>  <p>Wiki - Linienförmige Zugbeeinflussung</p>
--	---	---

<p>Europe: ETCS antennas and Eurobalises</p>	
 <p>de-academic.com</p>	



4. Improving efficiency through digitalization.

Digital Transformation: the Planning and Dispatching layers are not safety-critical and based on modern IT technology, they are the preferred place to implement innovations.

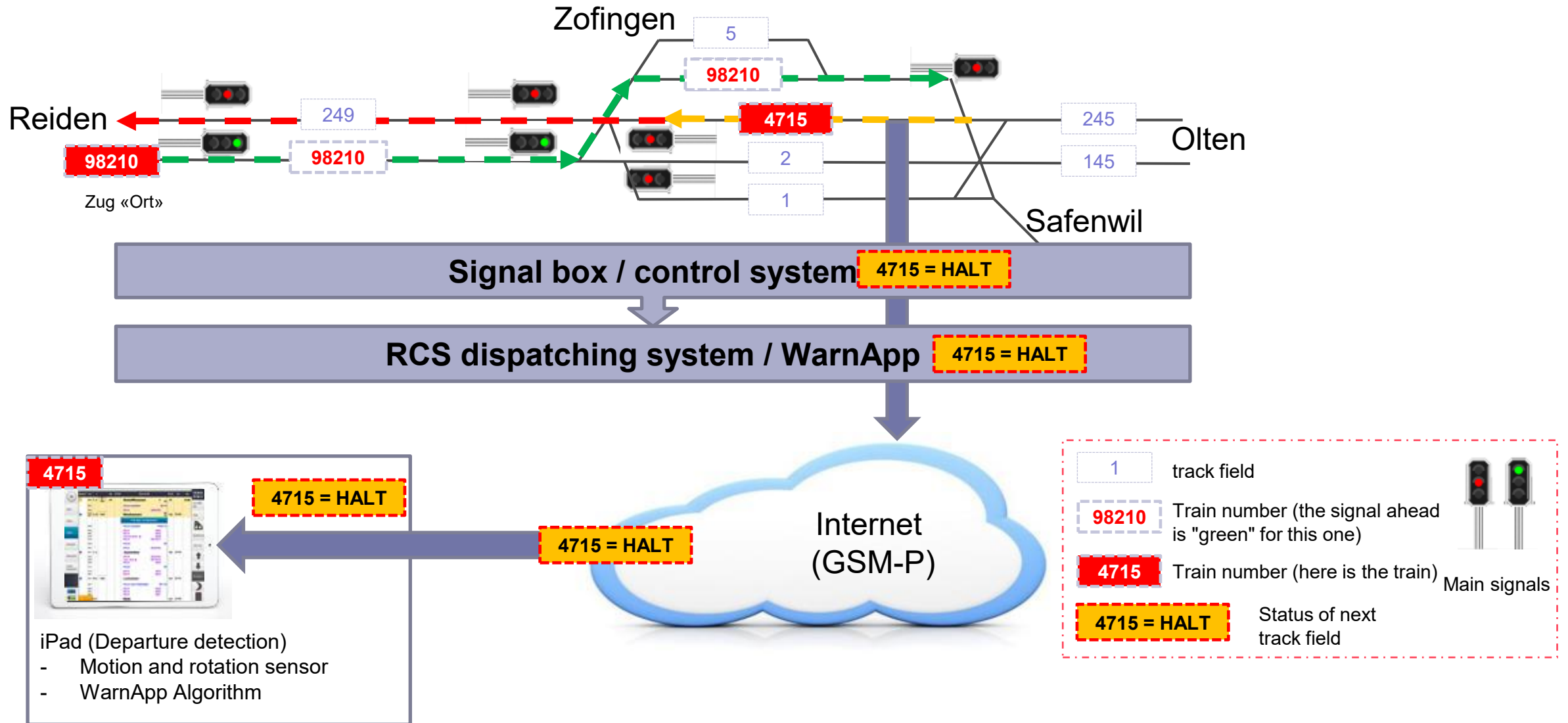


## WarnApp Train: Initial situation.



After the accident in Granges-Marnand on July 29, 2013, when a train left despite the stop signal, possibilities were quickly sought to increase safety.

# WarnApp Zug: Solution – Principle of operation.



# WarnApp Zug: Solution – warning display.



When the WarnApp warns, it is displayed visually, and the warning tone sounds at the same time.

In order to maintain acceptance among locomotive personnel, there are ways to justify a warning

1. Acknowledgement
2. Manoeuvre
3. False Warning



Driver assistance: An intelligent dispatching layer provides speed recommendations to the train drivers.



# Driver assistance: Example for ADL (speed recommendation).



**without ADL:**



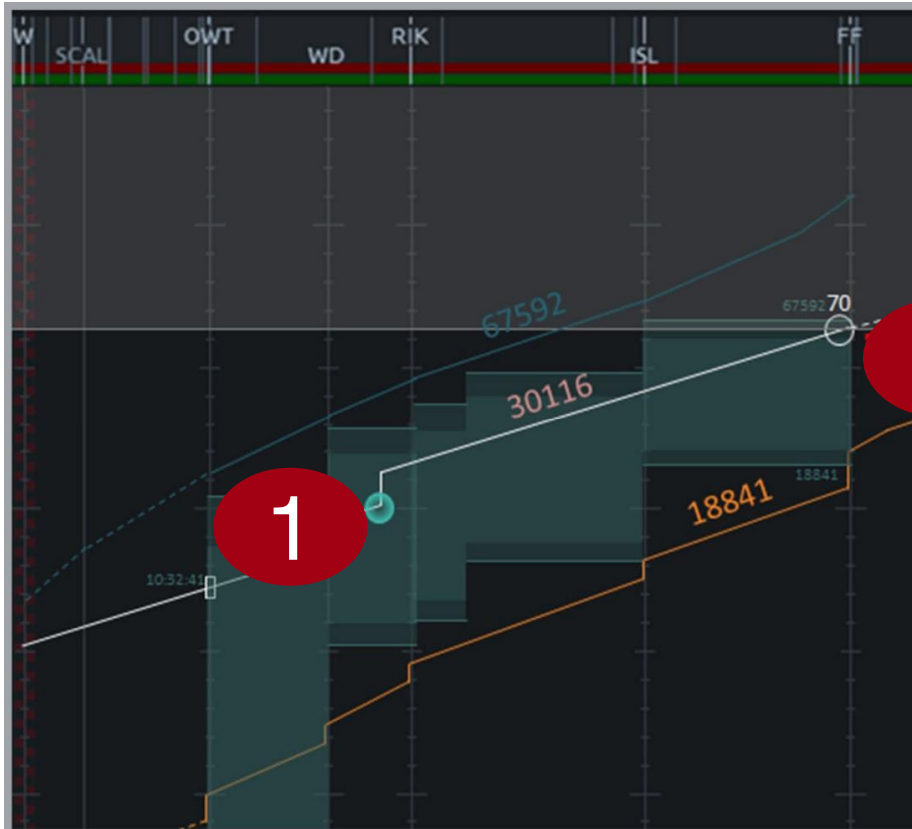
**Energy consumption: 350 kWh,  
Travel time 651 s**

**With ADL:**

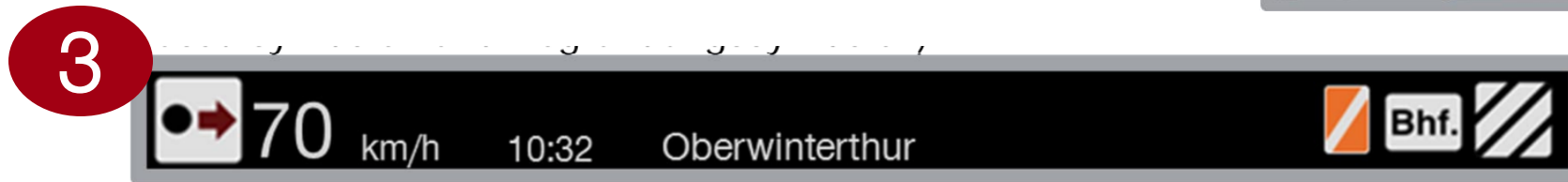
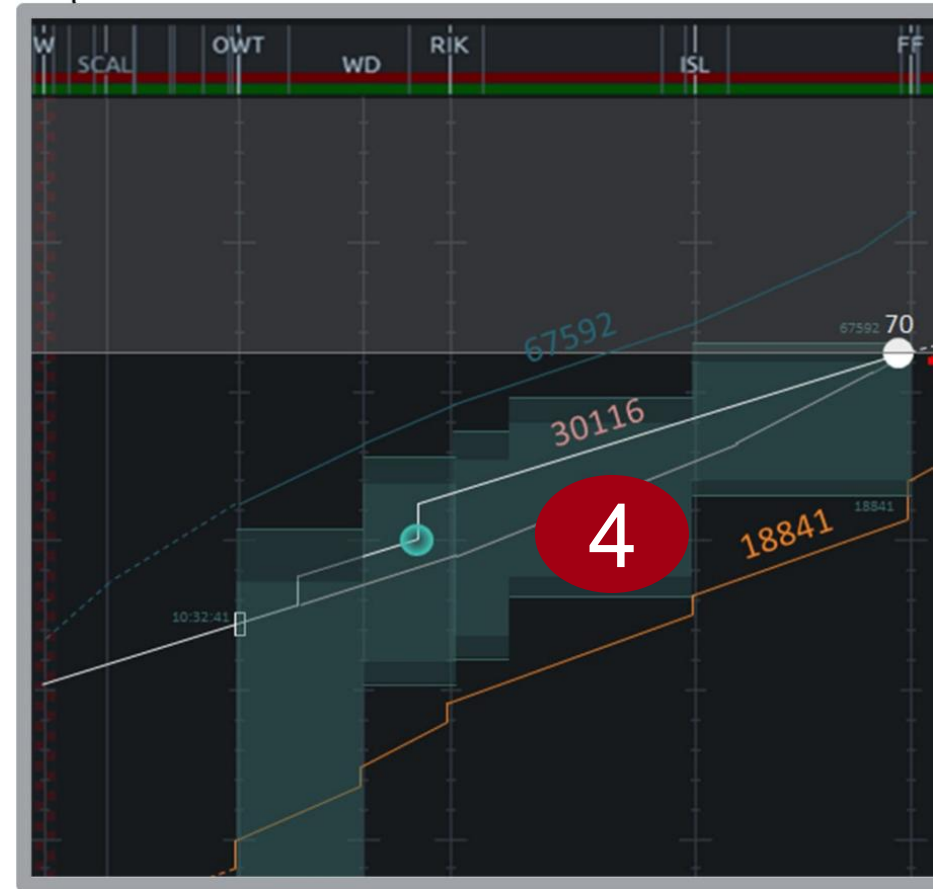


**Energy consumption: 204 kWh, -40%!  
Travel time 626 s**

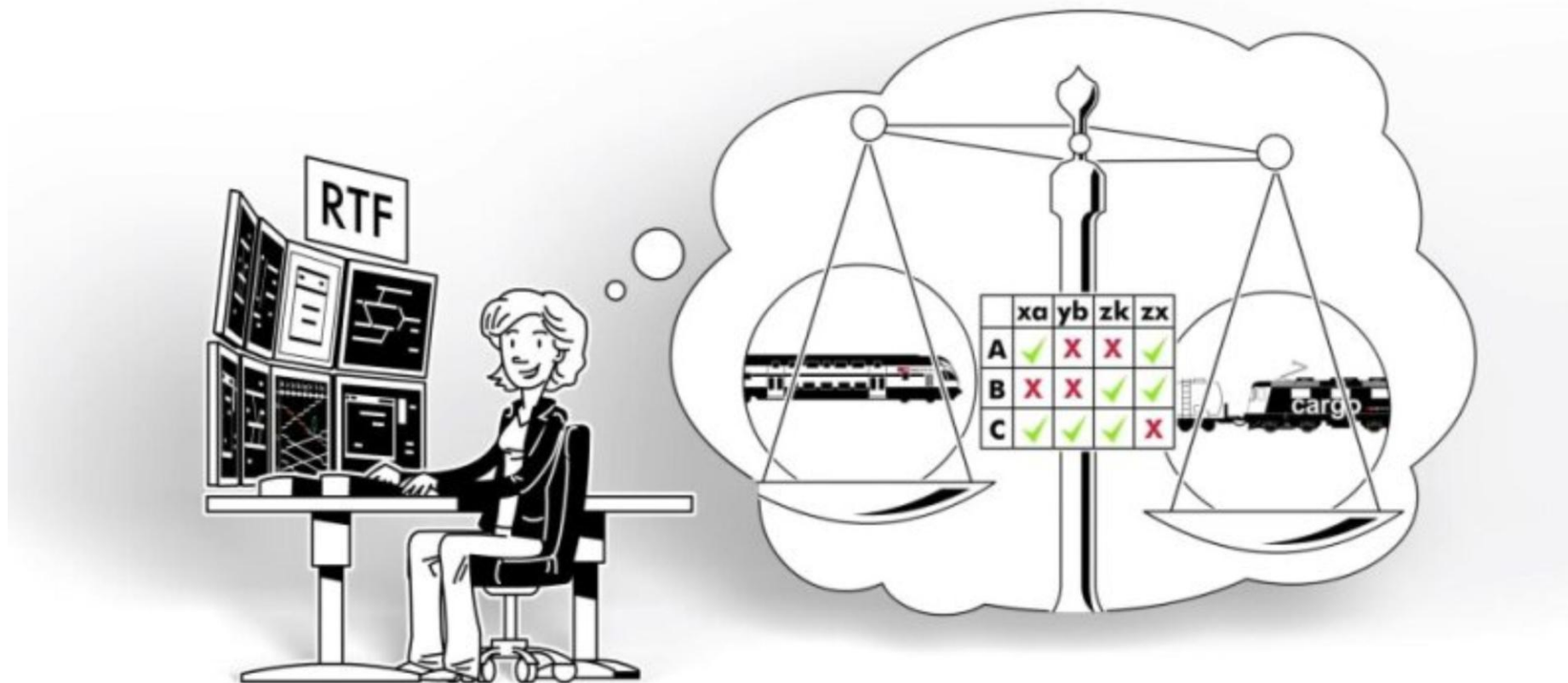
# Driver assistance: Example for ADL (speed recommendation).



1. Detected conflict
2. Calculated new speed
3. Message to train driver
4. Corrected path with new speed



# Adaptative regulation (ADL).



# RTO “Real-Time Optimisation” automatically resolves conflicts recognised in the current production plan.



**Conflict resolution** optimised by RTO takes place in two ways:

- Sequence changes and / or
- Route changes

Thanks to **the control access to the Ittis control** technology with the DispoOp module, the optimisations are implemented automatically.

So-called "**simple**" areas are currently being algorithmically **optimised**. For more complex areas, the prerequisites for authorisation and the availability of E2E topology data must first be created.

Vision: The potential for optimisation applications is increased by including all capacity-using objects (train and shunting movements, stabling, track closures, etc.) and gradually expanding the areas.

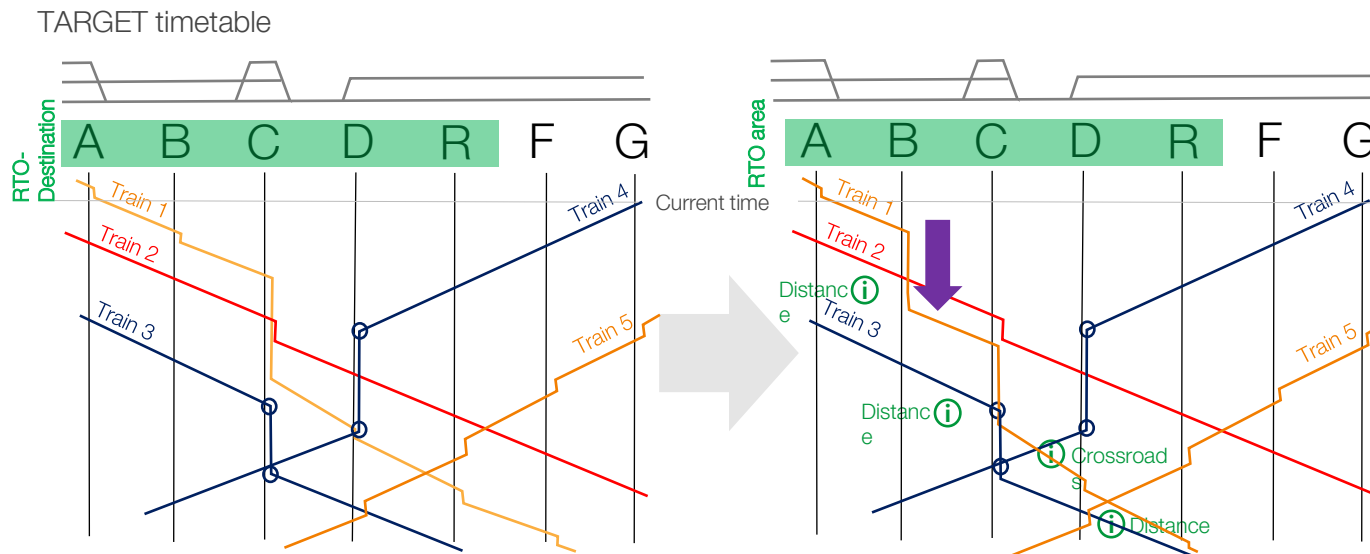
What are the **effects** of RTO?

- supports the **robustness / stability**
- **increases capacity** through **efficient** and **flexible** processes
- minimises risks = increases **safety**



# How does RTO work?

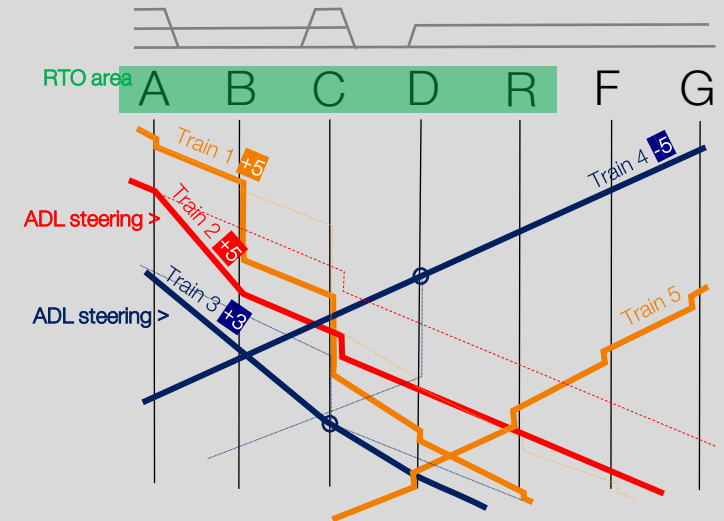
RTO is technically capable of resolving conflicts recognised by RCS within a defined area in two ways:



Traindriver1 reports problems with the vehicle  
> Reset in B necessary

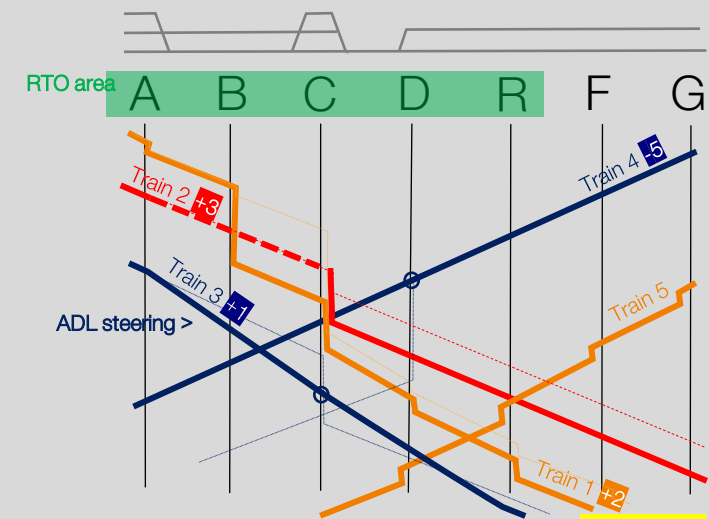
- 1) Dispatcher pulls down departure forecast 5 minutes after
- 2) TMS recognises and visualises emerging conflicts
- 3) RTO calculates possible solution variants, calculates the resulting delays and selects the variant with the lowest overall impact

## Conflict resolution by means of order dispositions



Total delays of all trains when leaving the RTO area: **+13 minutes**

## Conflict resolution by means of sequence and route planning

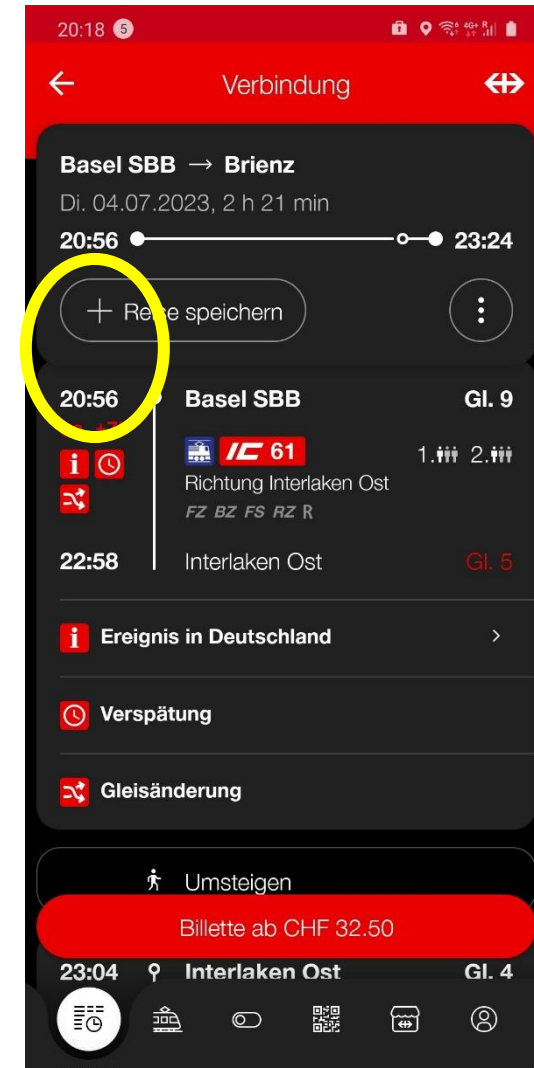


Total delays of all trains when leaving the RTO area: **+6 minutes**

Other uses of the production plan: How a centralized overview of railway traffic and train delay forecast enable coherent customer information.

	Abfahrt	Départ	Partenza		
S-Bahn	7.22	Stadelhofen	Zürich HB	BRUGG AG	4
IR	7.33	Wallisellen	Oerlikon	ZUERICH HB	3
IR	7.35	Frauenfeld	Weinfelden	ROMANSHORN	5
ICN	7.37	Wil	Gossau SG	ST. GALLEN	3
S-Bahn	7.41	Oerlikon	Zürich Thalwil	PFAEFFIKON SZ	8
S-Bahn	7.41	Oberwinterthur	Wallrüti	SEUZACH	5
S-Bahn	7.41	Oberwinterthur	Wallrüti	SEUZACH	5
EC	7.42	St.Gallen	Lindau	MUENCHEN HBF	Zug fällt aus
S-Bahn	7.42	Andelfingen	Neuhausen	SCHAFFHAUSEN	Zug fällt aus
S-Bahn	7.43	Flühli Zürich	Stadelhofen	HERRLIBERG - F.	
S-Bahn	7.44	Grüze	Turbenthal	RUETI ZH	2
S-Bahn	7.45	Oberwinterthur	Frauenfeld	WEINFELDEN	9
S-Bahn	7.47	Grüze	Aadorf	WIL	1
S-Bahn	7.47	Stadelhofen	Zürich HB	ZUERICH HARDBRUECKE	3

BETRIEBSEINSCHRAENKUNG EFFRETIKON - WINTERTHUR







## 5. Outlook.

# ETCS Level 2: Cab Signalling.

## Major Challenges in Switzerland.

### Upgrade



Existing Infrastructure

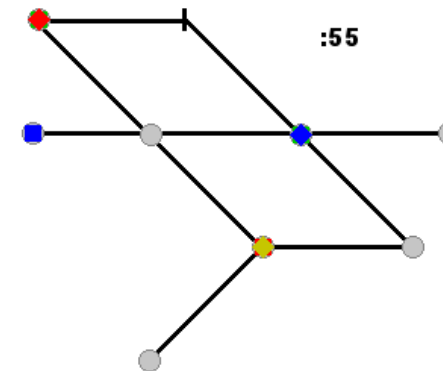
Construction during  
Train Operation

### Mixed Traffic



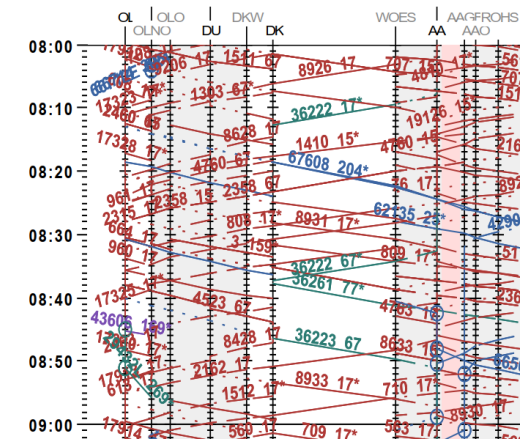
Suburban & Regional Trains  
Fast Passenger Trains  
Express Freight Trains  
Standard Freight Trains

### Fixed Interval Timetable



Fixed Operational Concept  
Guaranteed Connections

### High Density of Train Traffic



Short Train Headway

# ETCS Level 2 @ SBB.

With most advanced opportunities.



international traffic on **ETCS Standard**

- **Interoperability of Train Control**
- national Train Control obsolete



**very short Signal Blocks** possible

- minimal Occupation of Signal Blocks
- **very short Train Headways**



**Lineside Signalling** obsolete

- more **flexible Signal Block Allocation** along twisting routes
- visually independent from **Curves, Narrow Tunnels**



**Train-specific Braking Distances**

- **Fixed Approach Signal Distances** obsolete
- **Harmonisation of Speeds** by Increase of Speed for freight trains



**Instant Speed Increase** from Signals

- **instant Acceleration** after increase of signalled speed
- **reducing Train Delays** in Train Routing Conflicts



**Foresight of Driver** more than signal block

- **predictive Driving & Speed Regulation**
- more continuous Braking, less intermittent Braking



**Base for Automation & Digitalisation**

- shorter response times, **more precise Driving**, reduced Driving Variation
- digital **Automation of Planning & Operating Procedures**



International **Product Standardisation**

- **Procurement Advantages** by Economies of Scale
- Harmonisation of **Spare Part Management**

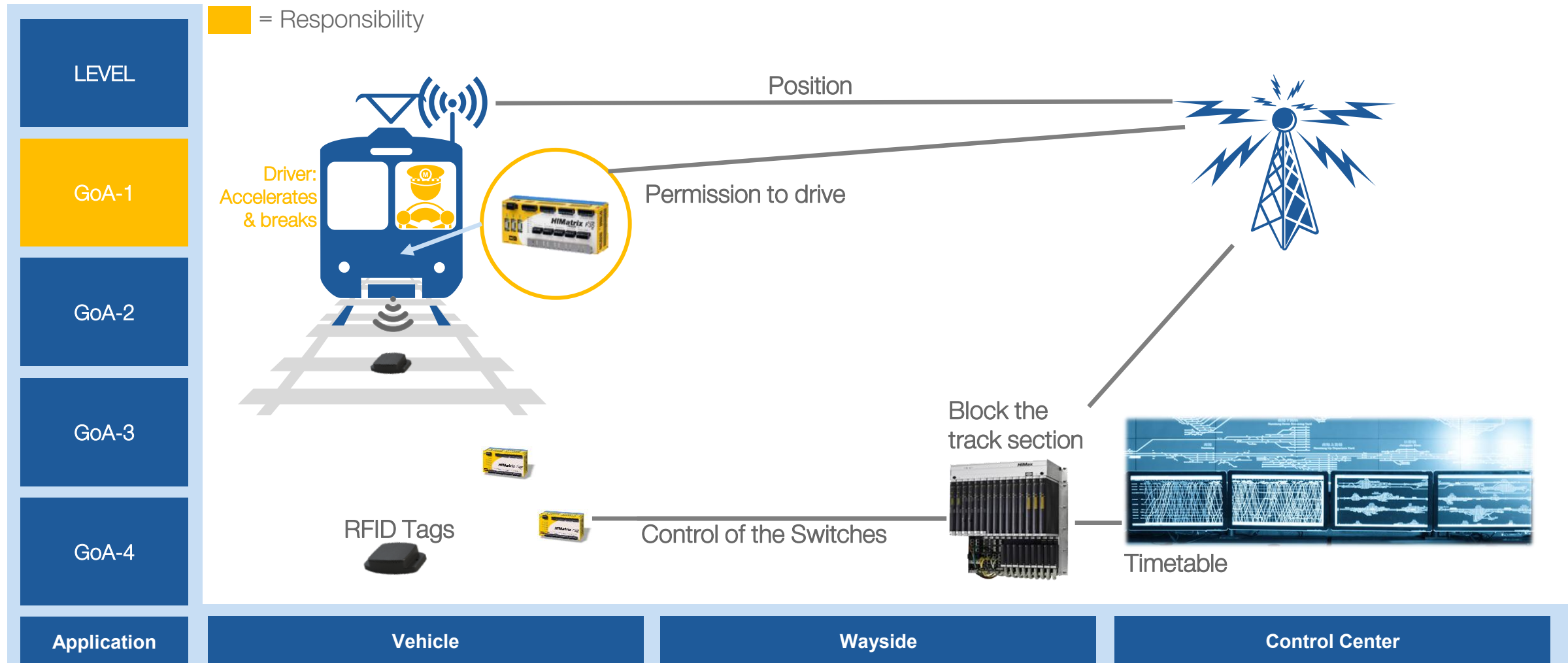
# Automation – Automatic Train Operation (ATO).

According to IEC/EN 62290-1, the following levels of automation are distinguished:

- **GoA 1** (Grade of Automation)  
Manual Driving with Cab Signalling and Train Control
- **GoA 2**  
Automatic driving with the constant presence of a train driver to intervene in the event of a malfunction and special operating procedures
- **GoA 3**  
Automatic driving with the presence of personnel on the train who can intervene in the event of a malfunction
- **GoA 4**  
Fully automated system with no personnel on the vehicles

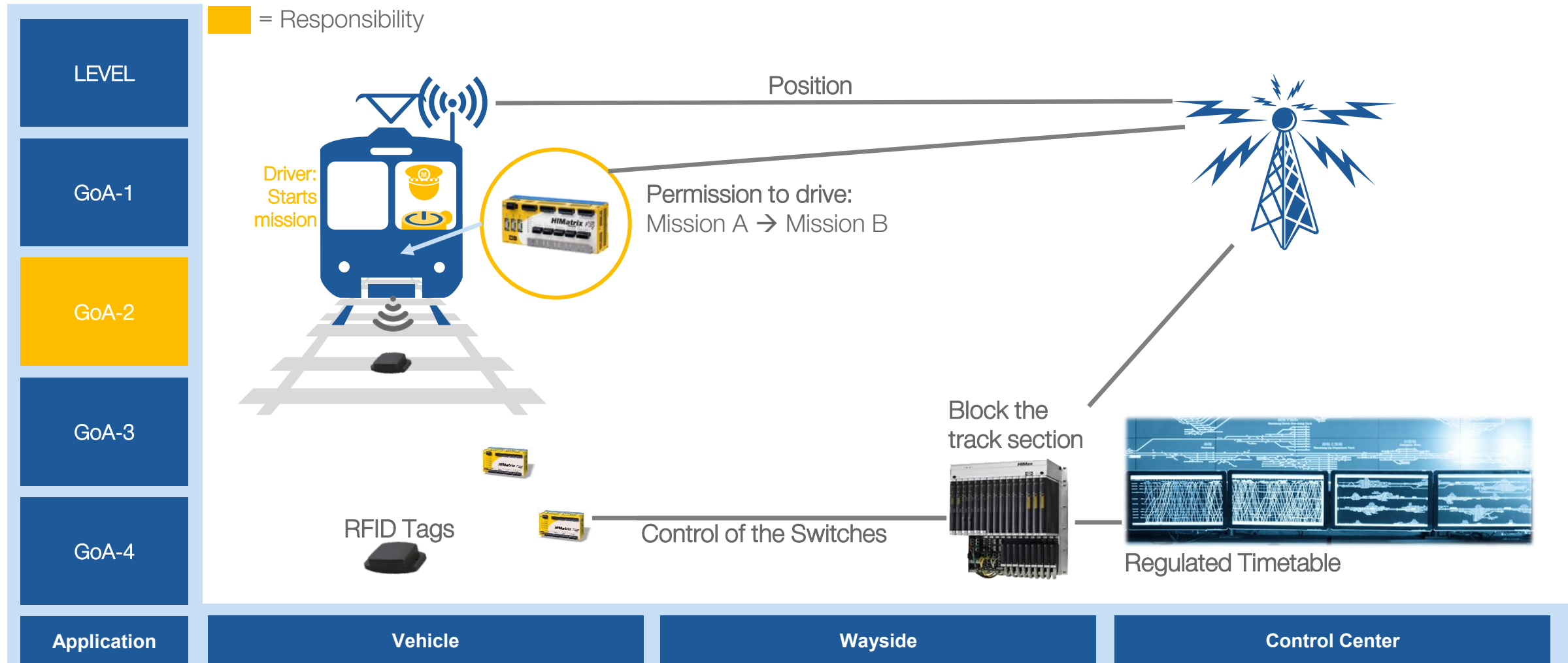
# Example Stadler CBTC.

## GRADES OF AUTOMATION



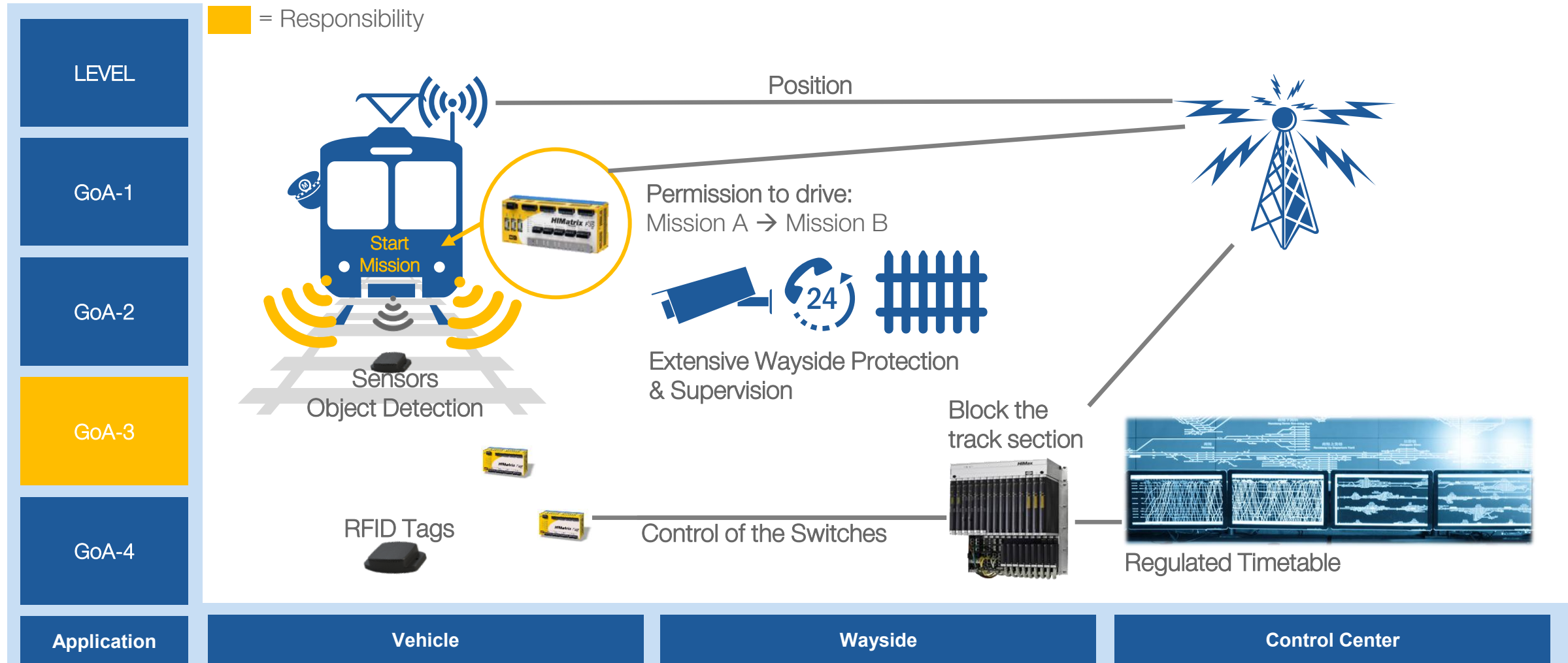
# Example Stadler CBTC.

## GRADES OF AUTOMATION

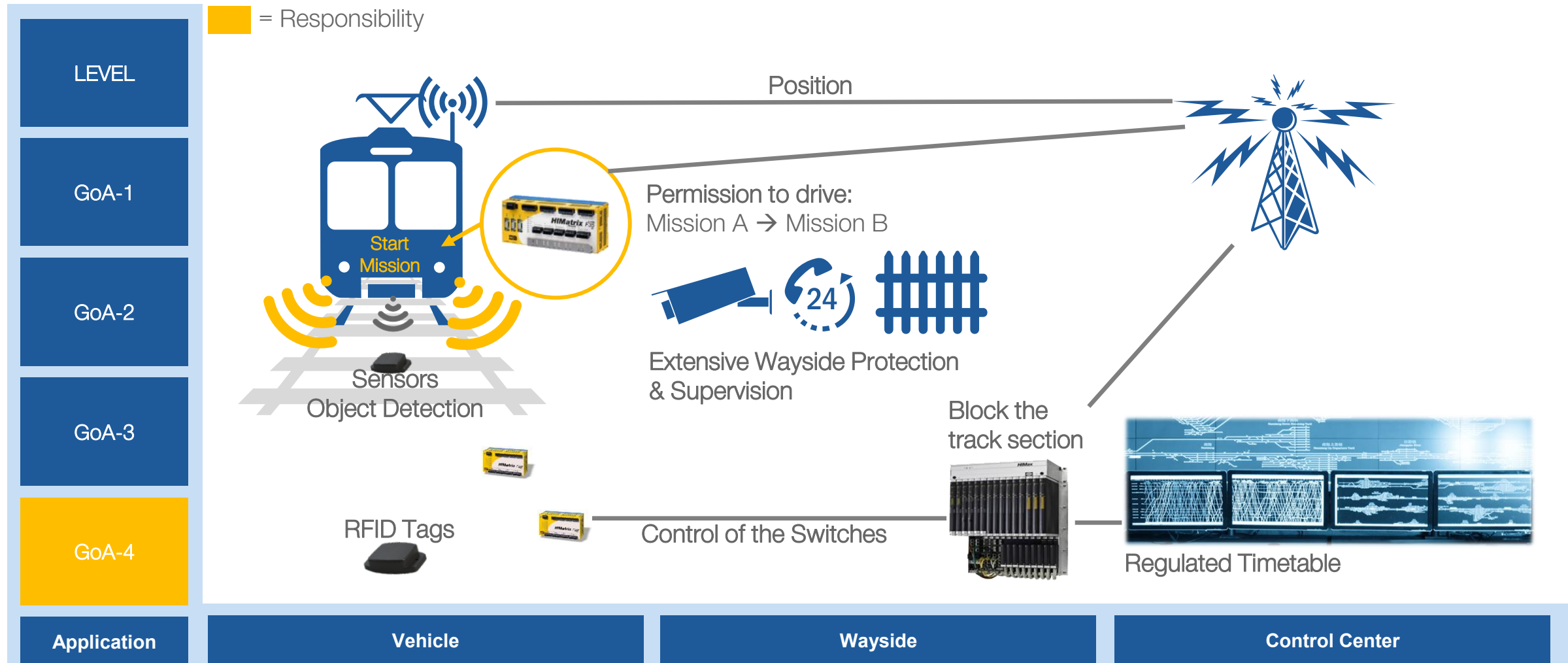


# Example Stadler CBTC.

## GRADES OF AUTOMATION



# Example Stadler CBTC. GRADES OF AUTOMATION



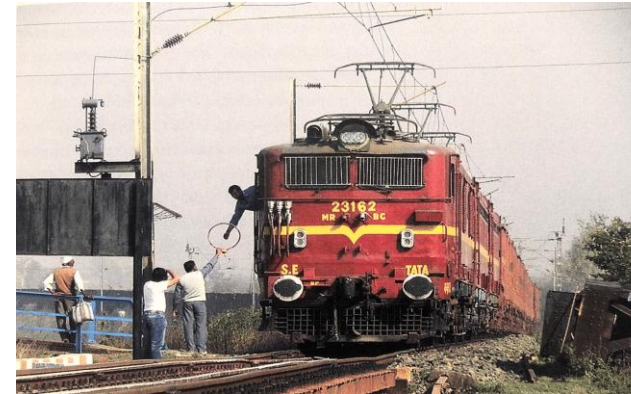
# Increased infrastructure utilisation — versus safety.

operating at sight distance



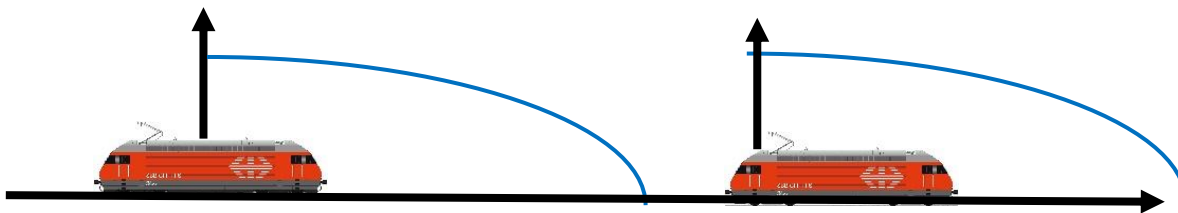
Source: Berner Zeitung

operate with absolute spacial separation

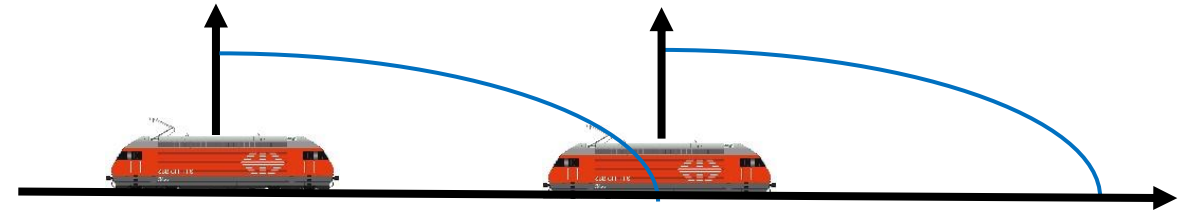


Source: Praxishandbuch Bahnsicherung

operate with absolute spacial separation



operate with relative spacial separation

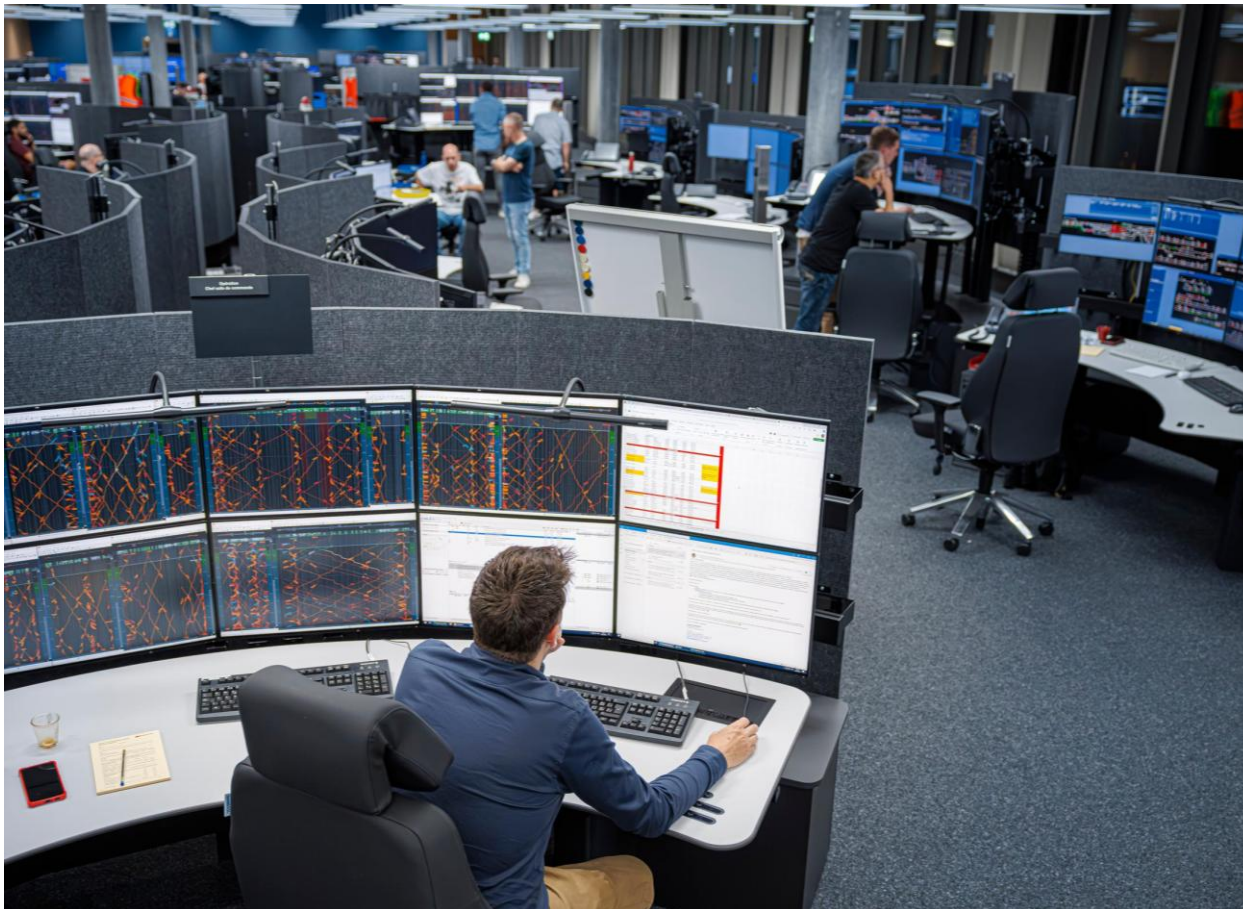




# Conclusion.

What to take away?

# Take-away messages.

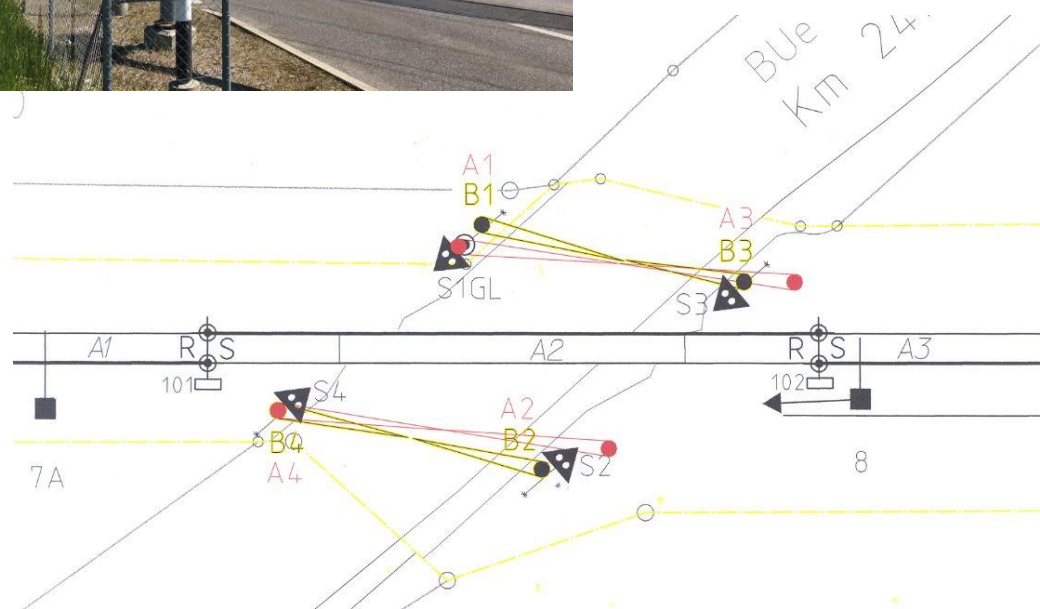


1. Rail is a highly efficient mode of mass transport.
2. It requires intensive technical measures to ensure safety.
3. Control is centralised by the infrastructure and has many dependencies.
4. Through the digitalisation of business processes, there is significant optimisation potential, which is currently being implemented.

# Case Study Traffic Management Systems.

Case Study for next week.

# Level crossing case investigation.



## Description

- 1 train every 15 min
- Track
- Main road (incl. pedestrian)

## Questions

- What hazards exist and how can they arise?
- How many accidents occur?
  - Are accidents caused by technical failures or human error?
  - What is the probability of a technical failure versus human error?
- What are the implications for this level crossing system?
- What does this imply for about 4,000 level crossings in Switzerland?
- What are the impacts on rail transport?
- What design principles should be applied to level crossings to reduce technical failures (SIL)?